LAMPIRAN I

Agreed Framework between the United States of America and the Democratic Republic of Korea (1994)

Geneva, October 21, 1994

Delegations of the governments of the United States of America (U.S.) and the Democratic People's Republic of Korea (DPRK) held talks in Geneva from September 23 to October 21, 1994, to negotiate an overall resolution of the nuclear issue on the Korean Peninsula.

Both sides reaffirmed the importance of attaining the objectives contained in the August 12, 1994 Agreed Statement between the U.S. and the DPRK and upholding the principles of the June 11, 1993 Joint Statement of the U.S. and the DPRK to achieve peace and security on a nuclear-free Korean peninsula. The U.S. and the DPRK decided to take the following actions for the resolution of the nuclear issue:

I. Both sides will cooperate to replace the DPRK's graphite-moderated reactors and related facilities with light-water reactor (LWR) power plants.

- 1) In accordance with the October 20, 1994 letter of assurance from the U.S. President, the U.S. will undertake to make arrangements for the provision to the DPRK of a LWR project with a total generating capacity of approximately 2,000 MW(e) by a target date of 2003.
 - The U.S. will organize under its leadership an international consortium to finance and supply the LWR project to be provided to the DPRK. The U.S., representing the international consortium, will serve as the principal point of contact with the DPRK for the LWR project.
 - The U.S., representing the consortium, will make best efforts to secure the conclusion of a supply contract with the DPRK within six months of the date of this Document for the provision of the LWR

- project. Contract talks will begin as soon as possible after the date of this Document.
- As necessary, the U.S. and the DPRK will conclude a bilateral agreement for cooperation in the field of peaceful uses of nuclear energy.
- 2) In accordance with the October 20, 1994 letter of assurance from the U.S. President, the U.S., representing the consortium, will make arrangements to offset the energy foregone due to the freeze of the DPRK's graphitemoderated reactors and related facilities, pending completion of the first LWR unit.
 - Alternative energy will be provided in the form of heavy oil for heating and electricity production.
 - Deliveries of heavy oil will begin within three months of the date of this Document and will reach a rate of 500,000 tons annually, in accordance with an agreed schedule of deliveries.
- 3) Upon receipt of U.S. assurances for the provision of LWR's and for arrangements for interim energy alternatives, the DPRK will freeze its graphite-moderated reactors and related facilities and will eventually dismantle these reactors and related facilities.
 - The freeze on the DPRK's graphite-moderated reactors and related facilities will be fully implemented within one month of the date of this Document. During this one-month period, and throughout the freeze, the International Atomic Energy Agency (IAEA) will be allowed to monitor this freeze, and the DPRK will provide full cooperation to the IAEA for this purpose.
 - Dismantlement of the DPRK's graphite-moderated reactors and related facilities will be completed when the LWR project is completed.
 - The U.S. and the DPRK will cooperate in finding a method to store safely the spent fuel from the 5 MW(e) experimental reactor during

the construction of the LWR project, and to dispose of the fuel in a safe manner that does not involve reprocessing in the DPRK.

- 4) As soon as possible after the date of this document U.S. and DPRK experts will hold two sets of experts talks.
 - At one set of talks, experts will discuss issues related to alternative energy and the replacement of the graphite-moderated reactor program with the LWR project.
 - At the other set of talks, experts will discuss specific arrangements for spent fuel storage and ultimate disposition.

II. The two sides will move toward full normalization of political and economic relations.

- 1) Within three months of the date of this Document, both sides will reduce barriers to trade and investment, including restrictions on telecommunications services and financial transactions.
- Each side will open a liaison office in the other's capital following resolution of consular and other technical issues through expert level discussions.
- 3) As progress is made on issues of concern to each side, the U.S. and the DPRK will upgrade bilateral relations to the Ambassadorial level.

III. Both sides will work together for peace and security on a nuclearfree Korean peninsula.

- 1) The U.S. will provide formal assurances to the DPRK, against the threat or use of nuclear weapons by the U.S.
- 2) The DPRK will consistently take steps to implement the North-South Joint Declaration on the Denuclearization of the Korean Peninsula.
- 3) The DPRK will engage in North-South dialogue, as this Agreed Framework will help create an atmosphere that promotes such dialogue.

IV. Both sides will work together to strengthen the international nuclear nonproliferation

regime.

- The DPRK will remain a party to the Treaty on the Non-Proliferation of Nuclear Weapons (NPT) and will allow implementation of its safeguards agreement under the Treaty.
- 2) Upon conclusion of the supply contract for the provision of the LWR project, ad hoc and routine inspections will resume under the DPRK's safeguards agreement with the IAEA with respect to the facilities not subject to the freeze. Pending conclusion of the supply contract, inspections required by the IAEA for the continuity of safeguards will continue at the facilities not subject to the freeze.
- 3) When a significant portion of the LWR project is completed, but before delivery of key nuclear components, the DPRK will come into full compliance with its safeguards agreement with the IAEA (INFCIRC/403), including taking all steps that may be deemed necessary by the IAEA, following consultations with the Agency with regard to verifying the accuracy and completeness of the DPRK's initial report on all nuclear material in the DPRK.

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SIX-PARTY TALKS

Initiated: 27 August 2003

Participants: China, Democratic People's Republic of Korea (DPRK), Japan, Russian Federation, Republic

of Korea, and the United States.

Background:

The goal of the Six-Party Talks is to identify a course of action to bring security and stability to the Korean Peninsula. The main issue that the talks address is the DPRK nuclear weapons program. The Six-Party Talks began in 2003, shortly after the DPRK announced its intention to withdraw from the Treaty on the Non-proliferation of Nuclear Weapons (NPT). The United States requested the participation of China, Japan, South Korea, and Russia due to the DPRK's breaches of the bilateral Agreed Framework of 1994. Talks have taken place in Beijing, China.

Participants:

China: China, the DPRK's main trading partner, has provided Pyongyang with an enormous amount of humanitarian and energy assistance. Because of this relationship, China plays a vital role in acting as a mediator for the Six-Party Talks. China has an interest in preserving stability in the DPRK due to the large number of refugees it would receive if tensions rose. Regional stability is also needed to ensure China's continued economic growth.

DPRK: The leadership of the DPRK has made it clear that it believes its nuclear weapons program provides vital national security benefits. Energy production is also one of the primary concerns of the DPRK leadership. The DPRK economy is weak, and the nation has suffered multiple famines in recent years that have killed large numbers of its citizens. Within the Six-Party Talks, the leaders of the DPRK seek to gain security, energy, and economic benefits.

Japan: Japan believes that the DPRK's nuclear weapons program directly threatens its national security. Besides seeking the denuclearization of the Korean Peninsula, Japan wishes to address other issues in the Six-Party Talks, such as the abduction of Japanese citizens by the DPRK government.

Russian Federation: Russia exerts less influence on the DPRK than China, as Russian trade with the DPRK has hit historic lows in recent years. Due to a shared border, Russia is also concerned about the flow of refugees that would occur in the event of a conflict. Russia wishes to see the DPRK's nuclear weapons program dismantled in order to prevent the proliferation of nuclear materials and technology to both state and non-state actors.

Republic of Korea: In 1953 the United States, China, and the DPRK reached an armistice that ended the fighting of the Korean War. This agreement was not signed by South Korean President Syngman Rhee; therefore, the two Koreas technically remain at war. South Korea is interested in seeing the DPRK dismantle its nuclear weapons program as it poses a direct security threat to peace and security on the Korean Peninsula. Due to a change in policy towards the DPRK that occurred in the late 1990's with the Sunshine Policy, which aimed at promoting reunification, another one of South Korea's main goals within the Six-Party Talks is to create a political atmosphere in which reunification of the two nations can be achieved.

United States: The United States wishes to see the DPRK nuclear weapons program dismantled in order to prevent the proliferation of nuclear technology and materials to both state and non-state actors. The United States is committed to defend South Korea in accordance with the Mutual Security Agreement signed in 1953. The United States has approximately 37,000 troops stationed in South Korea.

Developments:

For related information, see sections on <u>Joint</u> <u>Declaration of South and North Korea</u>, <u>KEDO</u>, and IAFA

2011: Despite threats from North Korea, the United States and South Korea started their annual joint military exercises on 28 February. The exercises, designed to test force preparedness for a conflict with North Korea, were described by both parties as

"defensive in nature" but were viewed by the DPRK as acts of aggression. They will continue through 30 April

On 15 March, North Korea indicated to Russia its willingness to return to the Six-Party Talks if they were resumed unconditionally. Russia responded the next day indicating its readiness to restart the Six-Party Talks.

Also on 15 March the G8 Foreign Ministers condemned DPRK's continued violation of UN

Security Council Resolutions 1718 and 1874 following DPRK's disclosure of uranium enrichment activities.

On 17 March, South Korea rejected North Korean proposals to return to the Six-Party Talks to discuss its uranium enrichment capabilities. The South Korean Foreign Minister Kim Sung-hwan said that the DPRK must show its commitment to disarmament not just in words but also in action, demanding disarmament steps taken by the DPRK before resuming negotiations.

On 22 July, nuclear negotiators from South Korea and the DPRK met on the sidelines of the ASEAN Regional Forum in Bali. The meeting represented the first direct engagement between the two countries since 2008. After the meeting, both sides confirmed that they were prepared to undertake efforts to restart Six-Party Talks.

U.S. Secretary of State Hilary Clinton, who attended the ASEAN Regional Forum, declared that the United States was encouraged by the dialogue between the North and South, but that the DPRK must undergo a "change in behavior" before talks can be resumed. Clinton then met with Japanese Foreign Minister Takeaki Matsumoto and South Korean Foreign Minister Kim Sung-hwan. Following the meeting, they issued a trilateral statement declaring that talks between the two Koreas must be "a sustained process" and that Six-Party dialogue would not resume until the DPRK displayed a "sincere effort" to reconcile with South Korea. On the last day of the Bali forum, Clinton invited Kim Kye Gwan, North Korea's vice foreign minister and former chief nuclear envoy, to New York for "exploratory" talks on the resumption of Six-Party dialogue.

On July 28 and 29, the U.S. Special Envoy to North Korea, Stephen Bosworth, met with North Korean First Vice Minister Kim Kye Gwan in New York to discuss the possibility of a resumption of Six-Party Talks. Following their meetings, Kim stated, "I am satisfied with talks this time," and expressed North Korea's intention to continue dialogue in the future. Kim specifically called for "more bilateral" talks to precede a resumption of Six-Party negotiations. However, the U.S. has not issued a statement on the North Korean visit and neither side has disclosed what the parties discussed.

2010: On 11 January, DPRK Foreign Minister Paek Nam Sun stated that the formation of a peace treaty with the United States was a precondition for his country's return to the Six-Party Talks.

On 4 February, Kurt Campbell, U.S. Assistant Secretary of State for East Asian and Pacific Affairs responded by announcing that United States would continue to hold the DPRK's return to the Six-Party Talks as an essential precondition to discussing a peace treaty or lifting any sanctions imposed by the United Nations.

On 26 March, a South Korean warship, the Cheonan, sank after coming into contact with a torpedo, killing 46 soldiers. In May, South Korea formally accused DPRK of launching a torpedo against its warship, but North Korea denied having any involvement in the explosion. South Korean officials stated they would not resume Six-Party Talks until the Cheonan incident was resolved and an official policy response from North Korea was given.

On 22 April, Russian Foreign Minister Sergei Lavrov called for a reconvening of Six-Party Talks, after DPRK announced it would not eliminate its nuclear weapons program, but instead wanted to work with "other nuclear weapons states" in their nonproliferation efforts.

On 27 August, North Korea's Kim Jong-il met with Chinese President Hu Jintao in an attempt by China to re-engage North Korea in Six-Party Talks. During the meeting Kim Jong-il expressed hope for "the early resumption of the talks."

8 November, IAEA Director General Yukiya Amano called on Six-Party countries to resume talks "at an appropriate time." However, South Korean military exercises near a disputed sea border prompted DPRK to shell South Korean Yeonpyeong Island on 23 November. Artillery fire was exchanged amidst international fears of further attacks and military escalation.

On 28 November, China called for emergency talks with the Six-Party nations in an attempt to ease tensions on the Korean peninsula and strengthen communication among the Six-Party. Although China stated that emergency consultations do not mean a resumption of Six-Party Talks, the idea was negatively received by South Korea, Japan, and the United States who felt the timing was not right for talks and that DPRK needed to fulfill its disarmament obligations before Six-Party talks could resume.

In that same month, North Korea unveiled its secret 2,000 centrifuge uranium enrichment facility at the Yongbyon complex. North Korea stated that the facility will produce LEU for a light-water reactor under construction in the same complex. However, the plant can also be converted to produce HEU for nuclear weapons.

After returning from an unofficial visit to North Korea on 20 December, New Mexico Governor Bill Richardson announced that DPRK agreed to allow IAEA inspectors into its enrichment facility to verify that it is not producing HEU. Both the United States and South Korea expressed skepticism about the offer, while China called on North Korea to follow through and accept an inspection.

In his annual New Years message, South Korea President Lee Myung-bak called for a revival of Six-Party Talks with North Korea in an attempt to ease tensions on the peninsula and reopen diplomatic channels.

2009: On 5 April 2009, the DPRK attempted to place a satellite into orbit with a 3-stage Taepodong-2 missile. The DPRK attempted unsuccessfully to launch the same missile in 2006. During the 2009 test, stage one of the missile fell into the Sea of Japan while the remaining stages along with the payload landed in the Pacific Ocean. This missile test was widely condemned by the international community and was recognized as a violation of United Nations Resolutions 1695 and 1718.

On 13 April, members of the United Nations Security Council unanimously adopted a presidential statement condemning the rocket launch as a violation of United Nations Security Council Resolution 1718. The statement demanded that the DPRK not conduct any additional launches. It also established a committee to determine whether an adjustment of sanctions would be possible. This statement was drafted after the permanent members of the Security Council failed to agree on a new resolution that included sanctions.

In response to the Security Council statement, on 14 April, the DPRK announced its withdrawal from the Six-Party talks and its intention to restore the nuclear facilities that had been shut down under the disablement process. On the same day, the DPRK's state-run Korean Central News Agency reported:

"The DPRK threatened to conduct a nuclear test and more ballistic missile tests if the United Nations Security Council does not apologize to the DPRK and withdraw its condemnation of Pyongyang's rocket launch earlier this month."

On 25 May, the DPRK conducted an underground nuclear test about 70 kilometers northwest of Kimchaek, the site of the 2006 underground nuclear test. This international community, including all five

permanent members of the United Nations Security Council, strongly condemned this act.

On 12 June, the United Nations Security Council unanimously adopted Resolution 1874. This resolution imposed further economic and commercial sanctions on the DPRK and authorized UN Member States to interdict and search DPRK vessels for prohibited cargo. The resolution also called upon the DPRK to retract its announced withdrawal from the NPT and return to the Six-Party Talks.

In September, DPRK leader Kim Jong-II was quoted by China's Xinhua news agency as saying that he would be open to bilateral talks with the United States in order to resolve relevant issues.

In October, Chinese Prime Minister Wen Jiabao visited the DPRK and met with Kim Jong-II. Afterwards, the Prime Minister announced that the DPRK was ready to return to the Six-Party Talks. He also made it clear that DPRK participation in the talks would be dependent on whether progress was made in the bilateral negotiations with the United States. At the time of this announcement, the South Korean news agency Yonhap reported that the DPRK had nearly completed the restoration of its main nuclear facility in Yongbyon.

In December, U.S. special representative to North Korea Stephen Bosworth met with DPRK officials in Pyongyang. The talks did not produce any concrete commitments, though Bosworth reported that he had reached a common understanding with his counterparts that the DPRK needed to reaffirm its 2005 commitment to abandon nuclear weapons in return for economic aid.

2008: In May 2008, the DPRK provided the United States with the documents that outlined its nuclear program. A month later released a declaration of all nuclear activities to all members of the Six-Party Talks. The United States reported having found traces of highly enriched uranium on the documents, which was problematic since the DPRK denied having an active uranium enrichment program. The United States also felt that the DPRK documents were insufficient because they did not give an account of proliferation actions with other countries such as Libya and did not contain the exact number of nuclear weapons that the DPRK had produced.

While behind schedule, disablement of Yongbyon was reported to be nearing completion and the DPRK submitted its long-overdue nuclear declaration on 26 June. The following day, in an effort to demonstrate its commitment to the denuclearization process, the DPRK destroyed the cooling tower of its 5 Mw(e) experimental reactor at Yongbyon. The six parties resumed negotiations to map out a verification plan.

In October, the DPRK agreed to a number of verification measures, and the United States removed it from the State Sponsors of Terrorism list.

In November, the DPRK rejected allowing environmental samples being taken from its main nuclear complex. Samples would have been used to verify the DPRK's account of past nuclear activities.

2007: On 13 February, the DPRK agreed to an "Action Plan" based on the 2005 Statement of Principles. Under the deal, the DPRK would shut down its nuclear facilities at Yongbyon within 60 days in exchange for 50,000 tons of heavy-fuel aid. Separate bilateral talks with the United States and Japan would also begin in order to normalize relations. Furthermore, in accordance with the Action Plan's second phase, another 950,000 tons of heavy fuel oil would be delivered along with other humanitarian, economic, and energy aid if the DPRK disabled its nuclear weapons program entirely.

On 19 March, DPRK assets in Banco Delta Asia were released and on 14 July, the IAEA confirmed the shutdown of Yongbyon nuclear facilities. In October 2007, the six parties agreed to a second phase Action Plan which called for the DPRK to disable its key nuclear facilities at Yongbyon and furthermore to submit a full declaration of its entire nuclear program by 31 December 2007.

2006: On 9 October 2006, the DPRK tested its first nuclear device at 10:35am (local time) at Mount Mant'ap near P'unggye-ri, Kilchu-kun, North Hamgyong Province. The yield from the test appeared to be less than 1 kiloton; the DPRK reportedly was expecting at least a 4 kiloton yield, possibly indicating that the nuclear program still had a number of technical hurdles to overcome before it could deploy a usable warhead. In reaction to the test, the UN Security Council passed Resolution 1718 placing sanctions on the DPRK.

With Beijing's behind the scenes negotiations, the DPRK returned to the Six-Party Talks in December 2006.

2005: On 10 February, the DPRK announced that it had manufactured nuclear weapons. A fourth round of talks took place in two phases: from 26 July-7 August and from 13-19 September.

On 19 September, the DPRK's delegation to the Six-

Party talks signed a "Statement of Principles" whereby Pyongyang agreed to abandon all nuclear programs and return to the NPT and restore IAEA safeguards in exchange for a U.S.-provided lightwater reactor. Implementation was delayed because the DPRK and the United States had desired that the other side fulfill its obligations under the agreement first.

Despite the "Statement of Principles," the Six-Party Talks

process was put on hold for over a year. A key issue holding back the talks was a disagreement over financial sanctions placed by the United States on businesses working with the DPRK. In September 2005, Washington froze the assets of Macao-based Banco Delta Asia. The reasons for this action were controversial, with the United States claiming that the bank was involved in money laundering unrelated to the nuclear issue, while experts claimed it was to gain negotiating leverage over the DPRK nuclear weapons program.

2004: A second and third round of Six-Party Talks took place from 25-28 February and from 23-26 June.

2003: On 10 January, DPRK announced its withdrawal from NPT.

On 5 February, North Korean officials declared that DPRK has reactivated the Yongbyon nuclear reactor and its operations are now going ahead "on a normal footing." They also stated that DPRK will use the facilities to produce electricity "at the present stage."

Six-Party Talks between North Korea, South Korea, Japan, China, Russia, and the United States took place from 27-29 August.

2002: Through April, U.S. and South Korean officials attended several meetings to discuss the possible resumption of US negotiations with North Korea to reinvigorate the 1994 Agreed Framework. South Korean presidential aide Lim Dong Won met with North Korean officials, including leader Kim Jong II, who agreed to receive US diplomat Jack Pritchard to discuss restarting US-North Korean negotiations on the Agreed Framework. At issue were the IAEA inspections of North Korea's nuclear facilities, called for in the 1994 agreement when a

"significant portion" of the new reactors is completed. US officials said the inspections could take three to four years to conduct, making their early commencement necessary to avoid interruption or delay in US aid for building the two LWRs intended to supply electricity to North Korea. North Korean officials, however, were reluctant to allow the inspections in the wake of US President Bush's

January "axis of evil" speech, and due to concerns that the United States will renege on its pledge to help the country complete the LWRs.

On 16 October, the United States announced that during a visit to the DPRK, Assistant Secretary James A. Kelly and his delegation advised the North Koreans that the United States had recently acquired information indicating that North Korea had a program to enrich uranium for nuclear weapons in violation of the Agreed Framework and other agreements. North Korean officials acknowledged that they havd such a program.

On 14 November, US President George W Bush declared that November oil shipments to the North would be the last if the North did not agree to put a halt to its weapons programs.

On 12 December, DPRK threatened to reactivate nuclear facilities for energy generation, saying the Americans' decision to halt oil shipments leaves it with no choice. DPRK also blamed the United States for violating the 1994 pact.

2001: On 22 February, North Korea threatened to abandon its participation in the Agreed Framework if the Bush administration followed a "different" North Korea policy from that of the Clinton administration. North Korea also accused the United States of not sincerely implementing the Agreed Framework and emphasized that, should the United States continue to delay implementation, it would not be bound to the agreement any longer. The United States stated in response that it was willing to continue dialogue with the DPRK on security issues and that it would honor the Agreed Framework.

On 6 March, US Secretary of State Colin Powell announced that the United States planned to engage with North Korea and pick up where President Clinton had left off. The administration noted some "promising elements" that had been left on the table. President Bush further noted that he was looking forward, at some point in the future, to having a dialogue with the DPRK. However, such dialogue would require complete verification of the terms of a potential agreement. The DPRK called the new US policy hostile.

On 6 June, the United States announced its determination to resume "serious discussions" on a "broad agenda" with the DPRK, i.e., comprehensive negotiations, including "improved implementation of the Agreed Framework, verifiable missile ban and North Korean conventional forces on the peninsula." Some experts interpret this new comprehensive approach as linkage between progress on nuclear issues with missile, and conventional issues in dealing with North Korea. The DPRK refused to resume talks with the United States on such a comprehensive accusing basis, the Bush administration of committing to a policy of isolation and suppression of North Korea. The DPRK stated that instead of holding comprehensive discussions, bilateral talks should focus on compensating the DPRK for the loss of electricity due to delays in the construction of the LWRs under the Agreed Framework and warned that the accord was in danger of collapse. The Bush administration stated that it was committed to the Agreed Framework;

however, construction of the LWRs, required by the accord, had not yet begun.

However, on 7 June, President Bush announced that his administration would not immediately resume negotiations with the DPRK, he expressed concerns about the ability to verify any agreement with a closed society like North Korea. US officials stated that the administration was conducting a comprehensive review of US policy towards the DPRK.

US Congressional Republican leaders urged the administration to reconsider the terms of the Agreed Framework by abandoning the LWR project in favor of conventional power plants to meet North Korea's civilian energy needs. They called into question Pyongyang's "track record" and said that North Korea's regime could hardly be trusted with LWR technology or fissile material.

On 13 June, US special envoy Jack Pritchard met North Korea's UN envoy in New York, beginning a dialogue between the Bush administration and the government in Pyongyang. This meeting was followed by the US administration's decision to resume negotiations with North Korea after a three-month review.