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APPENDIX I

INITIATIVE for ASEAN Integration I (2002-2008)

Initiative for ASEAN Integration (IAI) Strategic Framework

Part I- IAI Strategic Framework

Mandate

1. The ASEAN Heads of State/Government at their Summit in 2000 launched the Initiative for ASEAN Integration (IAI) to narrow the development divide and enhance ASEAN's competitiveness as a region to provide a framework for regional cooperation through which the more developed ASEAN members could help those member countries that most need it. The following year the ASEAN Foreign Ministers adopted the Hanoi Declaration on Narrowing the Development Gap (NDG) for Closer ASEAN Integration. The ASEAN Leaders in their 2003 Declaration of the ASEAN Concord (Bali Concord II) stressed that the deepening and broadening of ASEAN integration shall be accompanied by technical and development cooperation to address the development divide and accelerate the economic integration of Cambodia, Laos, Myanmar and Viet Nam (CLMV) through the road map for the integration of ASEAN to enable all member states to move forward in a unified manner and that the benefits of ASEAN integration are shared. In this regard, the Vientiane Action Programme 2004-2010, a medium-term development plan to realize ASEAN Vision 2020, highlighted the strategic importance of narrowing the development gap to realize the ASEAN Community, and that the IAI be strengthened to address the needs of CLMV and sub-regional areas.

2. The 13th ASEAN Summit in 2007 agreed that narrowing the development gap remains an important task which will ensure that the benefits of ASEAN's integration efforts are fully realized. The Leaders further noted that efforts to narrow the development gap should be aligned with the broader aspects of ASEAN's integration initiatives. With the acceleration of the realization of the ASEAN Community to 2015, these efforts have become even more urgent.

Goal

3. The overall goal of the NDG is to promote, through concerted efforts, effective cooperation and mutual assistance to narrow the development gap among ASEAN Member States (AMS) and between ASEAN and the rest of the world.

4. In operational terms, NDG efforts shall assist the CLMV countries to meet ASEAN-wide targets and commitments towards realizing the ASEAN Community.

5. This will include specific regional cooperation activities aimed at assisting the less developed member states to achieve closer economic integration, to benefit from ASEAN schemes for regional economic integration activities, to supplement national efforts directly aimed at poverty reduction and the promotion of equitable and inclusive development.

IAI Work Plan

6. The efforts to narrow the development gap will be driven mainly by the IAI Work Plan. The first IAI Work Plan for the period 2002-2008 accomplished implementation of a total of 134 projects/programs attracting a total investment of about USD 191 million from ASEAN-6, and about USD 20 million by dialogue partners, development agencies and other partners. This does not include the other IAI related substantive support given to the CLMV, nor other projects implemented in the CLMV sub-region.

7. The IAI Strategic Framework provides the policy direction for the formulation, implementation, monitoring, and reporting of the IAI Work Plan for the period 2009-2015.

General Principles for Formulation of the IAI Work Plan

8. The NDG efforts in general, and the IAI in particular, are aligned with the three Community Blueprints namely the ASEAN Economic Blueprint, ASEAN Socio-Cultural Blueprint and the ASEAN Political Security Blueprint. Therefore the IAI Work Plan for the period 2009-2015 has been formulated based on the key program areas in each of the three Blueprints, the first IAI Work Plan 2002-2008, and the project ideas contained in the Mid-Term Review of the first IAI Work Plan 2002-2008.

9. While it is acknowledged that all AMS will benefit from the Blueprint actions and that CLMV countries may be accorded additional attention in the implementation of these actions, the programs to be included in the IAI Work Plan are those that are critical and necessary to hasten the integration process and to move forward in a unified manner in the implementation of the Blueprints.

10. Criteria for projects in the IAI Work Plan include identification of the precise needs of CLMV in terms of external assistance, the importance of a project's role in national development plans, its effectiveness in building CLMV capacity for participation in ASEAN programs, long term continuity and sustainability, and absorptive capacity of CLMV countries. This will ensure that IAI projects have coherence, focus, ensure adequate coverage, reflect substantive gaps in priority sectors and activities essential for integration, and more importantly are responsive to CLMV needs.

11. While capacity building and human resource development is key to IAI efforts, there is a need to encourage the development of infrastructure as a means to promote ASEAN integration.

Implementation/Management Arrangements

12. The ASEAN Summit will provide overall guidance and advice on the implementation of the NDG initiative, and in particular approve the IAI Work Plan.

13. The ASEAN Coordinating Council in consultation with relevant ministerial bodies will provide recommendations to the ASEAN Summit with regard to the implementation of the NDG initiatives.

14. The IAI Task Force will provide policy guidelines, directions, and general advice on the IAI Work Plan; ensure coordination among the AMS; assist in formulation, implementation and design of the IAI Work Plan; seek funding and work closely with dialogue partners, development agencies and other partners in the implementation of the IAI Work Plan.

15. The co-shepherds mechanism shall continue to operate to foster greater collaboration in the formulation and implementation of ASEAN-6 assistance to the CLMV countries.

16. The ASEAN Secretariat, in particular through the IAI Unit, shall support the above bodies in the implementation of the IAI Work Plan and activities of other sub-regional frameworks. This will include servicing the meetings, assist in formulation, implementation, monitoring and reporting of projects, resource mobilization and overall operational coordination among the various bodies.

Resource Mobilization

17. New, additional and innovative resource mobilization shall be a key aspect of the implementation of the IAI Work Plan. The assistance and resources shall come from the following sources: ASEAN; ASEAN Dialogue Partners and Sectoral Partners, and Development Partners (ASEAN Partners); regional and international financial institutions; and private sector, foundations, and non-governmental organizations (NGOs).

ASEAN

18. The Leaders have stressed that the IAI shall essentially be a mechanism for ASEAN-6 to help the CLMV countries. In that sense, ASEAN-6 shall continue to support and provide assistance and resources through ASEAN-6 contribution to the IAI Work Plan, and through bilateral initiatives. In addition, ASEAN-6 promoting joint projects with partial funding, in line with their more developed status, will be an attractive proposition to dialogue partners and other partners. By its very nature, projects sponsored by ASEAN-6 would acquire greater coherence and a higher degree of organization, strengthen ASEAN solidarity and present an image of doing something for itself and of other ASEAN countries as helping one another, and therefore attract outside funding and support.

19. The ASEAN Development Fund may be used to leverage funding of regional cooperation programs and projects from dialogue partners and other donors, provide seed funding for initial activities of large scale projects, and to provide full funding support to small and short-term projects of a confidential or strategic nature.

ASEAN Partners

20. The NDG has revitalized the development-cooperation dimension of ASEAN's partners and made its projects the focus and center of development cooperation between ASEAN and its partners. Therefore, in addition to the on-going support provided to ASEAN, the partners should be encouraged to provide additional funding for the IAI activities. As explained above, partners should also explore joint projects with ASEAN-6 that will enhance collaboration beyond aid to joint partners for IAI activities. Special consideration for the participation of CLMV ought to be built into the design of all ASEAN cooperation programs, projects, and activities.

Private enterprises, Foundations and NGOs

21. The private enterprises, foundations and NGOs make substantial contributions to the socio-economic development of the CLMV countries. In fact, the IAI Work Plan cannot match the much needed funding for physical infrastructure projects of the private sector. The IAI Work Plan should therefore adopt a strategy to promote private sector investment, to expand their scope on a sub-regional scale by providing assistance in drawing feasibility studies for such infrastructure, conduct studies that are needed in seeking financing from international financial institutions or from private investors, organizing CLMV-wide investment and trade promotion fora, exhibitions etc.

Monitoring/Reporting Arrangements

22. Regular monitoring and implementation of IAI Work Plan will be undertaken by the various institutional mechanisms outlined above.

23. Specifically, (i) the CLMV countries shall report annually on the assistance they have received from all sources concentrating on the utility, impact and effectiveness of the projects (ii) ASEAN-6 shall submit annual reports on their assistance programs for the CLMV countries, and (iii) the ASEAN Secretariat shall consolidate these reports together with its own account of IAI support from ASEAN partners.

24. A set of key parameters representative of narrowing the development gap shall be adopted to monitor its progress. For this purpose a study will be undertaken to determine these key parameters, building upon the ASEAN Baseline Report conducted by the ASEAN Secretariat.

25. The IAI Work Plan 2 (2009-2015) shall be reviewed periodically to take into account ASEAN Community building process and emerging needs of CLMV countries.

APPENDIX II

INITIATIVE for ASEAN Integration II (2009-2015)

Part II – IAI Work Plan 2 (2009-2015)

ASEAN Economic Community (AEC)

A. Single Market and Production Base

A1. Free Flow of Goods

Actions:

- i. Conduct a study by 2009 to analyze the state, in each of the CLMV countries, of each of the relevant priority sectors and how the sector would benefit from or be affected by more rapid regional integration within ASEAN, proposing measures to undertake adjustments to reap the benefits and mitigate the costs.
- ii. Implement the agreed measures recommended by the above study.
- iii. Conduct a study by 2009 to identify obstacles encountered by CLMV exporters to or through ASEAN-6 countries and vice versa.
- iv. Conduct a review by 2010 with a view to implement effectively the ASEAN Integration System of Preferences.
- v. Conduct a study by 2009 of ways in which the ASEAN-6 can help strengthen the export competitiveness of the CLMV countries.
- vi. Implement the agreed recommendations of the study, completed in 2004, on managing the revenue losses and adjustment costs arising from CLMV participation in AFTA.
- vii. Assist CLMV in capacity building to ensure the implementation of National Single Window by 2012.
- viii. Conduct attachment programs and other methods of improving the familiarity of the customs and other agencies of the CLMV countries with the CEPT scheme and other integration measures and strengthening their capacity to implement them.
- ix. Conduct programs to familiarize the private sector in the CLMV countries with ASEAN Trade in Goods Agreement (ATIGA) and other integration measures.

- x. Continue implementation of the IAI training and institution-building programs in customs administration and operations.
- xi. Continue implementation of additional programs on the basis of a coherent capacity building program adopted by the ASEAN customs authorities, including training in the application of rules of origin, risk assessment, and enforcement of agreements related to customs.
- xii. Provide assistance to those CLMV countries that need assistance in drafting or amending customs-related legislation.
- xiii. Implement programs on customs-related information on transparency, appeal mechanism and access to information.
- xiv. Implement programs on customs automation.
- xv. Provide support for implementation of CLMV national master plans on standards and conformity assessment, including the improvement of technical infrastructure and equipment.
- xvi. Conduct capacity-building projects for the improvement of technical infrastructure, on-the-job training in laboratories, product certification, implementation of ISO directives, technical training for regulatory bodies, and accreditation and certification within the framework of a capacity-building program adopted by ACCSQ.
- xvii. Provide technical assistance in the establishment of national standards for those CLMV that needs it.
- xviii. Provide technical assistance in the harmonization of standards and technical regulations primarily in the 12 priority sectors of the AEC.
- xix. Strengthen strategic alliance between agricultural cooperatives in CLMV through bilateral and regional cooperation and promote business linkages among the potential agricultural cooperatives within ASEAN.

A2. Free Flow of Services

Actions:

- i. Conduct a study by 2009 to analyze the state and impact of free flow of services in each of the CLMV countries in the global and regional contexts, including the five priority sectors for the integration of trade in services.
- ii. Implement the agreed measures recommended by the above study.

- iii. Provide technical assistance by 2012 in formulating services related policy measures in tourism sector including air transport services, liberalization of tourism services, and the use of tourism professionals.
- iv. Provide joint training by 2011 in tourism services sector to strengthen the capacity of government officials and private sectors in charge of services in CLMV countries.

A3. Free Flow of Investment

Actions:

- i. Conduct a research study to identify the difficulties encountered by investors in their operations in CLMV countries.
- ii. Undertake a review on possible strategies to attract investment, improve the investment climate, build capacities and maximize the benefits of FDI for development.
- iii. Conduct capacity building programs to review, streamline and simplify procedures for investment applications and approvals.
- iv. Provide technical assistance in strengthening databases, such as on rules and regulations and incentives, for investments covering goods and services to facilitate policy formulation, and dissemination of investment information.
- v. Conduct a study of the human resource requirements of the sectors to which the CLMV countries seek to draw investments, including reforms in the educational system and short-term vocational training.
- vi. Conduct programs to familiarize the private sector in the CLMV countries with ASEAN Comprehensive Investment Agreement (ACIA) and other integration measures.

A4. Freer Flow of Capital

Actions:

- i. Conduct training programmes in dealing with financial issues, including possible assistance in developing financial system in CLMV.
- ii. Develop capacity building for CLMV in the areas of capital market with the aim to facilitate liberalization in the financial sectors.
- iii. Enhance capacity building for Central Banks of CLMV in managing monetary policies, monitoring and supervision.

- iv. Enhance competitiveness of commercial banks in CLMV in areas such as human resource development, modernization of banking technology and risk management, etc.
- v. Provide on-the-job training to the personnel of CLMV in ASEAN-6 for an appropriate duration in relevant institutions in the areas of capital market developments, financial services liberalization and capital account liberalization.

A5. Free Flow of Skilled Labour

Action:

- i. Conduct training by 2010 to assist CLMV in implementing the Mutual Recognition Agreements (MRAs) signed.

A6. Priority Integration Sectors

Actions:

- i. Assist CLMV to implement measures identified in the Roadmaps for Integration of Priority Sectors.
- ii. Develop capacity building programs for CLMV authorities involved in the implementation of the measures identified in the Roadmaps for Integration of the PIS.

A7. Food, Agriculture and Forestry

Actions:

- i. Conduct capacity building for harmonization and inspection/sampling procedures.
- ii. Provide assistance to harmonize the Maximum Residue Limits (MRLs) of commonly used pesticides for widely traded crop products in accordance with international standards/guides.
- iii. Conduct a study by 2011 on how to increase competitiveness of food, agriculture and forestry products/commodities including addressing gap among CLMV and other ASEAN Member States in relation to food, agriculture and forestry related issues.
- iv. Provide assistance to CLMV by 2011 in implementing Good Agriculture / Aquaculture Practices (GAP) for agricultural and food products and develop national GAP.

- v. Provide assistance to each CLMV country to harmonise Sanitary and Phyto-sanitary (SPS) measures for agricultural, food and forestry products with significant trade / trade potential.
- vi. Conduct training by 2011 on Criteria and Indicators for Forest Certification for each CLMV country.
- vii. Develop collaborative research and technology transfer in food, agriculture and forestry products including training and extension programmes for CLMV countries.
- viii. Establish strategic alliances and joint approaches with the private sectors in promoting food safety, investment and joint venture opportunities, promotion of agricultural products and market access in each CLMV country.
- ix. Conduct study to empower and enhance market access of agricultural products and to build a network mechanism linking agricultural cooperatives among CLMV and other ASEAN Member States.
- x. Organize workshops on strengthening efforts to combat illegal logging and its associated trade for the CLMV countries.
- xi. Organise training workshops on Good Manufacturing Practices/Good Hygiene Practices and Sanitation Standard Operating Procedures for small and medium enterprises (SMEs) in relation to food, agriculture, aquaculture and forestry products.

B. Competitive Economic Region

B1. Competition Policy

Actions:

- i. Conduct programs to strengthen rule of law in CLMV through capacity building, including the enforcement of contracts, competition policy, dispute settlement, and government policy reform.
- ii. Provide support for CLMV in developing competition policy.

B2. Consumer Protection

Actions:

- i. Provide technical assistance by 2011 for CLMV countries on policy formulation, development and improvement of consumer protection guidelines and legal framework.

- ii. Implement capacity building programs by 2011 on consumer protection through organising seminars, workshops, study visits and training courses.
- iii. Provide assistance to those CLMV countries that need assistance in drafting protection-related registration especially with regard to unfair and deceptive practices including false and deceptive advertising, abusive sales tactics, consumer fraud and other unfair business practices.

B3. Intellectual Property Rights (IPR)

Actions:

- i. Organise training and workshops by 2010 on implications of IPR in regional FTAs.
- ii. Provide necessary technical assistance to CLMV countries in the implementation of the ASEAN IPR Action Plan 2004 – 2010 and the Work Plan for ASEAN Cooperation on Copy Rights.
- iii. Assist CLMV countries to enhance their IPR systems.

B4. Infrastructure Development

Actions:

- i. Continuation, intensification and expansion of training in multimodal transport.
- ii. Provide training by 2011 in multimodal transport, logistics, and supply chain management .
- iii. Provide technical assistance and training for CLMV in establishing and operating their segments of the Singapore-Kunming Rail Link.
- iv. Conduct a feasibility study on the upgrading of secondary roads in each of the CLMV countries for connection to the ASEAN Highway Network.
- v. Undertake activities on the application of information and communications technology to transportation.
- vi. Conduct training projects for container transport, urban transport planning, traffic management, and transport engineering.
- vii. Conduct a capacity building project on traffic safety in inland waterways.
- viii. Consider implementation of Viet Nam's proposal to convert the Inland Waterways College in Ho Chi Minh City into a regional training center for inland waterways.

- ix. Provide support to amend the road transport law and road traffic law for CLMV by 2010 to comply with regional obligations.
- x. Provide training on railway operation for CLMV.
- xi. Implement projects to build CLMV capacity to participate in ASEAN energy schemes, primarily the ASEAN Power Grid.
- xii. Provide training by 2011 in high-voltage inter-connection and in power-systems planning.
- xiii. Provide training in fuel quality testing, fuel transport safety, power trading and negotiations on transboundary power tariffs.
- xiv. Develop a program of fuel conservation and renewable energy, with a training component by 2010.
- xv. Provide support for formulation of national ICT master plans by 2010.
- xvi. Provide support for formulation of e-government master plans.
- xvii. Provide support for formulation of national action plans for human resource development in ICT.
- xviii. Provide support to review telecommunications regulations to support liberalization (and development) of the telecom sector with an integrated approach on regulation and policy reform (on competition policy, foreign investment, licensing, allocation of resources such as frequencies and access facilities).
- xix. Provide support to complete the GMS Information Superhighway to provide the telecom backbone network and connectivity to all parts of CLMV.
- xx. Conduct a study and implement measures to implement CLMV ICT manufacturing bases/clusters (and to be connected to other IT parks in ASEAN).
- xxi. Conduct training programs on the basis of a coherent program for the ICT focal points of CLMV.

B5. Taxation

Actions:

- i. Organise workshops and seminars on taxation matters.

- ii. Provide necessary assistance related to developing bilateral agreement on avoidance of double taxation to CLMV countries that need assistance.
- iii. Provide technical assistance on tax structure enhancement to CLMV for the eventual harmonization with other ASEAN Member Countries' tax systems.

B6. E-Commerce

Actions:

- i. Provide support by 2010 to develop e-commerce friendly environment (to cover the areas of e-commerce law, digital signature, information security, etc).
- ii. Develop capacity building/training programs on the utilization of e-commerce.

C. Equitable Economic Development

C1. SME Development

Actions:

- i. Conduct a study by 2009 to determine how the rural areas of each of the CLMV countries could benefit from the integration of each of the 12 priority sectors.
- ii. Implement the agreed measures recommended by the above study.
- iii. Continue implementation of workshops on standardization and quality, and training programs on quality improvement for SME in the CLMV countries.
- iv. Conduct a series of projects to cultivate entrepreneurship in CLMV countries, taking in account the Entrepreneurship Development Centers set up by India.
- v. Organize a series of seminars on sharing of experiences on access to financing for Micro, Small and Medium Enterprises.
- vi. Develop capacity building/training programs for CLMV countries that need assistance in enhancing competitiveness of their SMEs.

D. Integration into the Global Economy

D1. Coherent Approach Towards External Economic Relations

Actions:

- i. Conduct training programs for the CLMV countries in the application of the rules of origin of trade agreements concluded or being negotiated by ASEAN.
- ii. Conduct capacity building programs to provide policy advice to CLMV governments in the negotiations of free trade agreements in accordance with their respective needs and interest.
- iii. Provide assistance to the CLMV governments in ensuring that their specific requirements are taken into account in the study on the investment elements of FTAs being negotiated between ASEAN and Dialogue Partners.

D2. Enhanced Participation in Global Supply Networks

Actions:

- i. Provide training in the assessment of overseas markets.
- ii. Conduct studies of the major markets for actual or potential CLMV exports.
- iii. Support CLMV countries in conducting studies on impact of integration in agricultural sector.

ASEAN Socio-Cultural Community (ASCC)

A. Human Development

A1. Advancing and Prioritising Education

Action:

- i. Conduct a program for training in the management of higher education for CLMV countries.

A2. Investing in Human Resource Development

Actions:

- i. Conduct a seminar by 2009 on strategic planning for skills development.
- ii. Study the possibility of establishing vocational/university exchange programs or temporary training programs for certain skills sets that are needed for CLMV.

A3. Promotion of Decent Work

Actions:

- i. Implement a program by 2010 to promote safety in the work place in CLMV countries.

- ii. Provide advisory assistance to CLMV in the development of the informal sector, including policy measures and training programs.

A4. Promoting Information and Communication Technology (ICT)

Actions:

- i. Provide expertise training in the management of large-scale ICT projects in CLMV countries.
- ii. Provide support in building up and developing a group of CEOs for ICT.
- iii. Provide support for CLMV countries in studying and building of structures and information standards serving e-government.
- iv. Develop a standard ICT infrastructure of CLMV for their people, especially women, youth, elderly and persons with disability, to easily access ICT.
- v. Provide ICT training for people in each CLMV country including women, youth, elderly and persons with disability.
- vi. Provide support to implement capacity building programmes to increase ICT literacy in ASEAN, including women, children, elderly and people with disabilities.

A5. Facilitating Access to Applied Science and Technology

Actions:

- i. Provide support to implement the ASEAN-Help-ASEAN programme to address the S&T needs and strengthen the S&T infrastructure of CLMV.
- ii. Design activities to improve the capabilities of CLMV to undertake collaborative research and development in enabling technologies.
- iii. Provide assistance to CLMV to develop national S&T indicators that can serve as inputs in the development of human resource strategies by economic and industry players.

A6. Strengthening Entrepreneurship Skills for Women, Youth, Elderly and Persons with Disabilities

Actions:

- i. Establish an entrepreneurship forum by 2010 for entrepreneurs who are women, youth, elderly and persons with disability of ASEAN-6 to share their experiences and assist their CLMV partners in the way of doing business effectively, including the use of micro credit, access to seed capital and the market.

- ii. Provide women, youth, elderly and persons with disability in CLMV with knowledge on international commerce by 2010.

A7. Building Civil Service Capability

Actions:

- i. Invest in infrastructure of civil service in CLMV with due attention to gender responsive aspects by 2010.
- ii. Strengthen the capability of ASEAN Resource Centers under the auspices of the ASEAN Conference on Civil Service Matters (ACCSM) to develop training for CLMV civil services by 2009.
- iii. Provide “Train the Trainers” session for CLMV officials in their respective countries to develop skills and capability in real working environment by 2012.
- iv. Develop and conduct a training program by 2009 for CLMV to promote effective and efficient civil service, public accountability and good governance.
- v. Develop and conduct e-learning programs by 2009 on specific areas of public management among ASEAN civil services.
- vi. Organise study tours by 2010 on activities of foreign news services to ASEAN-6 countries and ASEAN Dialogue Partners to learn experiences.
- vii. Conduct training/workshops by 2010 for CLMV on civil service performance (evaluation appraisal) system.
- viii. Conduct workshops by 2012 for CLMV middle level managers to share experience on governance and civil service management.

B. Social Welfare and Protection

B1. Poverty Alleviation

Actions:

- i. Conduct workshops by 2010 for CLMV to review and assess the impact of numerous internationally funded poverty-reduction programs and to adopt best practices.
- ii. Implement projects related to poverty alleviation in CLMV with due attention to female households in rural areas.

- iii. Conduct workshops on economic development at grassroots level and in rural areas.

B2. Social safety Net and Protection from the Negative Impacts of Integration and Globalization

Actions:

- i. Conduct a study by 2012 for CLMV to assess the formal and informal mechanisms for social security and social protection, and if necessary to modify those mechanisms with due attention to gender responsive aspects.
- ii. Conduct studies focusing on identifying the impact of labor emigration on various CLMV industries as well as on identifying the specific needs of those industries.

B3. Enhancing Food Security and Safety

Action:

- i. Conduct capacity building programs by 2011 on risk analysis including training for CLMV countries to support food safety measures.

B4. Access to Healthcare and Promotion of Healthy Lifestyle

Actions:

- i. Enhance quality of herbal medicine and prevent illegal importation by 2011.
- ii. Develop and implement action plan under the new ASEAN-WHO Memorandum of Understanding (2009-2013) to include capacity building activities on Healthy Lifestyles for CLMV by 2013.

B5. Improving Capability to Control Communicable Diseases

Actions:

- i. Integrate responses to HIV epidemic under the Third ASEAN Work Programme on HIV and AIDS, 2006-2013.
- ii. Employing existing mechanisms to control other Emerging Infectious Diseases (EID) including TB and Malaria.
- iii. Integrate HIV and AIDS impact assessment into the feasibility study phase for development projects with due attention to gender responsive aspects.

B6. Ensuring a Drug-Free ASEAN

Actions:

- i. Provide support by 2011 in exchange of experiences, best practices and technical assistance to develop patterns for drug-replacement crops/alternative crops as substitute in the areas previously used for illicit drug crops.
- ii. Enhance capabilities and provide technical assistance for the treatment and rehabilitation of addicted persons including synthetic drugs users.
- iii. Provide advisory assistance to create linkages between government, non-governmental organizations and civil society to establish comprehensive system which can provide treatment, rehabilitation and aftercare services.
- iv. Develop national public awareness strategy and implementation plan against the threat of illicit drug.

B7. Building Disaster-Resilient Nations and Safer Communities

Actions:

- i. Organize training courses and workshops for CLMV countries to enhance capabilities in disaster responses, and search and rescue.
- ii. Provide support in terms of equipments and upgrading of infrastructure for search and rescue, and disaster responses by 2012.
- iii. Provide more capacity building in disaster management and emergency response.

C. Social Justice and Rights

C1. Promotion and Protection of the Rights and Welfare of Women, Children, the Elderly, and Persons with Disabilities

Actions:

- i. Develop and implement by 2011 capacity building activities (workshops, trainings and study tours) for CLMV on caring societies.
- ii. Conduct an ASEAN Workshop to promote understanding, implementation, monitoring and evaluation of the rights of the child, based on the convention on the Rights of the Child.

C2. Protection and Promotion of the Rights of Migrant Workers

Actions:

- i. Provide advisory services to the CLMV countries for the purpose of developing their capacity to manage overseas employment programs.

- ii. Provide training/technical assistance/capacity building by 2011 towards the establishment of clear and easily accessible emigration/immigration procedures and documentation, with a view to aid safe and regular migration.

C3. Promoting Corporate Social Responsibility (CSR)

Actions:

- i. Provide training on the concept of corporate social responsibility and its environment for effective implementation in national and international markets.
- ii. Develop and implement a comprehensive program to build capacity of CLMV countries for effective implementation of international best practices on corporate social responsibility.
- iii. Conduct reviews on the implementation and continual support for strengthening the capacity of CLMV and promoting the conformity assessment activities, and addressing issues of particular concern to international market access.

D. Ensuring Environmental Sustainability

D1. Addressing Global Environmental Issues

Action:

- i. Develop and implement a comprehensive program to build capacity of CLMV for effective participation and negotiations in multilateral environmental agreements and implementation of their obligations to those agreements.

D2. Managing and Preventing Transboundary Environmental Pollution

Actions:

- i. Promote and share the experiences of ASEAN 6 in managing forest fires and transboundary haze pollution for CLMV through the Mekong Technical Working Group on Transboundary Haze Pollution.
- ii. Promote capacity building on the management of Hazardous Chemicals and Substances as well as Persistent Organic Pollutants (POPs).

D3. Promoting Sustainable Development through Environmental Education and Public Participation

Action:

- i. Provide additional support by 2012 to CLMV countries in the implementation of the ASEAN Environmental Education Action Plan (2008-2012), addressing issues of particular concern to CLMV.

D4. Promoting Environmentally Sound Technology (EST)

Actions:

- i. Promote capacity building by 2012 on environment labelling and certification schemes, and use of alternate and renewable energies as well as Best Available Techniques and Best Environment Practices (BAT/BEP).
- ii. Enhance the capacity of CLMV countries in managing environmental pollution in handicraft villages.

D5. Promoting Quality Living Standards in ASEAN Cities/Urban Areas

Action:

- i. Promote best practices through the ASEAN Environmentally Sustainable Cities (ESC) Award for urban centres in CLMV.

D6. Harmonizing Environmental Policies and Databases

Actions:

- i. Provide support to CLMV for producing state of the environment reports.
- ii. Provide assistance to harmonize key environmental parameters, including capacity building for monitoring and analyzing environmental pollutants.

D7. Promoting the Sustainable use of Coastal and Marine Environment

Actions:

- i. Develop a program by 2010 to build capacity for the implementation of the ASEAN Marine Water Quality: Management Guidelines and Monitoring Manual.
- ii. Develop the model of community's participation in environmentally sustainable coastal zone economic development in CLMV.

D8. Promoting Sustainable Management of Nature Conservation and Biodiversity

Actions:

- i. Provide support to encourage more natural conservation areas, including listing in the ASEAN Heritage Parks Programs and World Heritage Sites.
- ii. The ASEAN Center for Biodiversity to provide additional support in all its activities to the CLMV countries with regard to sustainable management of biodiversity of the region.

- iii. Strengthen biodiversity conservation and natural resources protection through the establishment of transboundary protected areas among CLMV countries.

D9. Promoting the Sustainability of Freshwater Resources

Actions:

- i. Provide more assistance to CLMV countries in the implementation of the ASEAN Strategic Plan of Action on Water Resources Management.
- ii. Lend more support to the Mekong River Commission to enable comprehensive integrated water resources management of the region.

D10. Responding to Climate Change and Addressing its Impacts

Action:

- i. Assess the impact of climate change on biodiversity, water resources, climate related disasters such as floods and fires, and draw up adaptation and mitigation plans by 2012.

D11. Promoting Sustainable Forest Management (SFM)

Actions:

- i. Promote capacity building and strengthen joint efforts to combat illegal logging and its associated trade, forest fires and its resultant effects.
- ii. Promote the development of eco-tourism through training activities and study tours.
- iii. Promote information sharing on rearing and breeding of Wild Fauna and Flora.
- iv. Promote exchange of experience and best practice on forest law enforcement and governance in the respective countries and strengthen activities to implement commitments to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and the ASEAN Wildlife Enforcement Network (ASEAN-WEN).
- v. Provide assistance to CLMV countries in implementing the ASEAN criteria and indicators for sustainable management of tropical forest.
- vi. Provide assistance to CLMV countries to implement the GMS Program on Reafforestation.

E. Building ASEAN Identity

E1. Promotion of ASEAN Awareness and a Sense of Community

Actions:

- i. Provide assistance to CLMV countries in the writing, translation if necessary, production and distribution of textbooks on Southeast Asia and ASEAN for different educational levels and in developing courses on these subjects, and translation of ASEAN Documents.
- ii. Conduct cultural activities in order to promote cultural awareness among the young people by 2011.
- iii. Assist CLMV countries in the implementation of programs to promote public images and awareness of ASEAN.

E2. Preservation and Promotion of ASEAN Cultural Heritage

Actions:

- i. Organise workshops by 2012 on preservation and promotion of tangible and intangible cultural heritage.
- ii. Conduct workshops on dissemination and preservation of cultural heritage at risk.

E3. Promotion of Cultural Creativity and Industry

Action:

- i. Organise study tour for people engaged in small and medium sized cultural enterprises from CLMV countries to other ASEAN member countries with good experience in cultural industry.

ASEAN Political-Security Community (APSC)

A. A Rules-Based Community of Shared Values and Norms (Political Cooperation)

Actions:

- i. Develop capacity building programs to complement the existing CLMV countries' efforts in the areas of rule of law and judiciary systems and legal infrastructure, promotion and protection of human rights, political and social

development, effective and efficient civil services, and good governance in the public and private sectors.

- ii. Promote capacity building for CLMV countries in the implementation of the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW).

B. A Cohesive, Peaceful and Resilient Region with Shared Responsibility for Comprehensive Security (Security Cooperation)

Actions:

- i. Provide capacity building to CLMV countries to better participate in ASEAN programs related to combating transnational crimes and terrorism.
- ii. Develop capacity building programme in the areas of conflict resolution and pacific settlement of dispute.

C. A Dynamic and Outward-Looking Region in an Increasingly Integrated and Interdependent World (External Relations)

Actions:

- i. Provide capacity building to CLMV countries to better participate and engage in relevant multilateral initiatives.
- ii. Provide support to CLMV countries to reap the full benefit of ASEAN's engagement with external partners.

General Enabling Actions

- i. Conduct projects to raise English-language efficiency of government officials and people of the CLMV countries.
 - ii. Provide further attachment to the ASEAN Secretariat of CLMV officials, from the ASEAN National Secretariat as well as from key ministries involved in ASEAN affairs.
 - iii. Provide training, including training-for-trainers, in the formulation and management of projects.
 - iv. Develop a set of indicators for measuring the progress of CLMV towards the narrowing the development gap.
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APPENDIX III

INITIATIVE for ASEAN Integration III (2016-2020)

INITIATIVE FOR ASEAN INTEGRATION (IAI) WORK PLAN III

WE, the Heads of State/Government of the Member States of the Association of Southeast Asian Nations (thereinafter referred to as ASEAN), namely, Brunei Darussalam, the Kingdom of Cambodia, the Republic of Indonesia, the Lao People's Democratic Republic, Malaysia, the Republic of the Union of Myanmar, the Republic of the Philippines, the Republic of Singapore, the Kingdom of Thailand and the Socialist Republic of Viet Nam, on the occasion of the 28th ASEAN Summit in Vientiane;

RECALLING the launch of the Initiative for ASEAN Integration at the Fourth Informal Summit in Singapore in 2000 and Ha Noi Declaration on Narrowing Development Gap for Closer ASEAN Integration in 2001, with the aim of providing direction on collective efforts in ASEAN to narrow the development gap within ASEAN and to enhance ASEAN's competitiveness as a region;

NOTING the progress made by Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV countries) in the past fifteen years through capacity building, technical assistance and infrastructure development provided under the IAI Work Plans I and II;

FURTHER NOTING the prevalent development gaps which still exist within and among ASEAN Member States;

ACKNOWLEDGING that the changing global and regional economic landscape would bring huge opportunities as well as challenges to the region and that the development gap among Member States would be further widened without effective strategies to bridge the socio-economic divide;

REAFFIRMING the commitment made in the Kuala Lumpur Declaration on ASEAN 2025: Forging Ahead Together in 2015 to strengthen efforts and cooperation in reducing the development gap through, among others, the Initiative for ASEAN Integration;

COMMENDING the work of the IAI Task Force in developing the IAI Work Plan III with the relevant ASEAN Sectoral Bodies and the ASEAN Secretariat;

DO HEREBY:

1. ADOPT the Work Plan III of the Initiative for ASEAN Integration (IAI Work Plan III), that aims to assist CLMV countries to meet ASEAN-wide targets and commitments to realise the goals of the ASEAN Community and thereby contribute to narrowing development gap;

2. AGREE that this Work Plan succeeds the *IAI Work Plan II* and constitutes an integral part of the *ASEAN 2025: Forging Ahead Together*;

3. RESOLVE that ASEAN Member States shall mobilise resources along with ASEAN's Dialogue Partners and external parties, including international organisations and the private sector, to implement the Work Plan in a timely and effective manner;

4. TASK relevant ASEAN Sectoral Bodies to support implementation of the Work Plan in the areas under their respective purview; and

5. TASK the IAI Task Force to coordinate, monitor and report the progress of implementation of the IAI Work Plan III to the ASEAN Summit annually, through the ASEAN Coordinating Council.

ADOPTED in Vientiane, Lao PDR, this Sixth day of September in the Year Two Thousand and Sixteen, in a single original copy in the English Language.

APPENDIX IV

ASEAN DECLARATION ON NARROWING DEVELOPMENT GAP



Ha Noi Declaration On Narrowing Development Gap For Closer ASEAN
Integration Hanoi. Vietnam, 23 July 2001

WE, the Foreign Ministers of the ASEAN Member countries representing Brunei Darussalam, the Kingdom of Cambodia, the Republic of Indonesia, the Lao People's Democratic Republic, Malaysia, the Union of Myanmar, the Republic of the Philippines, the Republic of Singapore, the Kingdom of Thailand and the Socialist Republic of Viet Nam;

ACKNOWLEDGING; that the benefits of globalization are at present unevenly distributed and that the development gap among nations and regions would *be* further widened without effective measures to address the negative impact of globalization;

RECALLING the commitment of the ASEAN leaders, proclaimed in the ASEAN Vision 2020 at the Second informal ASEAN Summit in Kuala Lumpur in 1997, to promote equitable economic development and the reduction of poverty and economic disparities in the ASEAN region and in the Hanoi Plan of Action adopted at the 6th ASEAN Summit in Hanoi; in 1998 prescribing concrete measures to reduce the development gap among ASEAN Member Countries and to promote the economic integration of the new Member Countries into ASEAN; and

EVOKING the decision of the ASEAN leaders on the Initiative for ASEAN Integration (IAI) adopted at the Fourth Informal Summit in Singapore in 2000, giving direction to and sharpening the focus of collective efforts in ASEAN to

narrow the development gap within ASEAN as well as between ASEAN and other parts of the world;

DO HEREBY DECLARE:

1. We resolve to promote, through concerted efforts, effective cooperation and mutual assistance to narrow the development gap among ASEAN Member Countries and between ASEAN and the rest of the world for the sake of dynamic and sustained growth of our region and prosperity of all our peoples.
2. We shall work together to identify, through research, analysis and consultation, the comparative strengths of our economies and their potential for complementary, with a view to promoting regional economic integration and a sense of community and shared responsibility among our nations.
3. We shall devote special efforts and resources to promoting the development of the newer Member Countries of ASEAN (Cambodia, Laos, Myanmar, and Viet Nam or CLMV) with priority given to infrastructure, human resource development, and information and communication technology.
4. We renew our call for the development of the region through sub-regional cooperative programmes, including the ASEAN-Mekong Basin Development Cooperation, the Brunei-Indonesia-Malaysia- Philippines East ASEAN Growth Area, the Indonesia-Malaysia- Thailand Growth triangle, the Indonesia-Malaysia-Singapore Growth triangle and the West-East Corridor across Viet Nam, Laos, Cambodia, Thailand and Myanmar. We encourage contact and coordination among the different frameworks as well as among the relevant regional organizations in order to benefit from their best practices.
5. We shall continue to expand and deepen our linkages with the rest of the world, particularly with China, Japan and the Republic of Korea

within the ASEAN+3 framework, and with the other Dialogue Partners of ASEAN.

6. We are determined, for these purposes, to mobilize resources in partnership with other Dialogue Partners, the international community including the private sector to develop the following priority areas:

Infrastructure

7. We shall strengthen our transportation linkages through developing and implementing more extensive land, sea and air infrastructural projects in order to facilitate the flow of goods and people and to generate higher income for people in the region. Such projects include the Singapore-Kunming Rail Link and the ASEAN Highway Network. We urge the international community to provide technical support to and participate in these major infrastructural projects.

8. We look forward to the expeditious implementation of the trans-ASEAN energy networks consisting of the ASEAN Power Grid and the trans-ASEAN Gas Pipeline projects; which would help ensure the security and sustainability of energy supplies in ASEAN.

Human Resource Development

9. We place the highest priority on the development of human resources as the key to economic growth, social stability and human fulfillment.

10. We are encouraged by the assistance being extended by ASEAN Member Countries to the newer Member Countries in various areas of human resource development including under the IAI framework.

11. We attach great importance to the establishment and strengthening of training institutes and programmes in CLMV and their linkage with those in the other ASEAN Member Countries *for* mutual assistance.

12. We strongly encourage efforts to promote and upgrade the Use of English as a tool of communication among all peoples of ASEAN in order to make ASEAN competitive in the knowledge-based economy.

13. We recognize the need to explore the setting up of benchmarks and time-tables in the field of education to ensure the development of skills and attitudes of our people required by the knowledge-based economy and the information age.

14. We welcome the comprehensive assessment being under-taken of the training needs of government officials in CLMV, and urge international support to meet these training needs while mobilizing our own resources for this endeavour.

Information and Communication Technology

15. ASEAN is determined to use information and communication technology (ICT) as a tool for narrowing the development gap and closing the digital divide within and among Member Countries as well as between ASEAN and the rest of the world. We reaffirm the importance for ASEAN to promote the development and the use of ICT for raising people's income, especially in the rural areas, for improving the system of public education, and for enhancing the benefits of public health and medical care for the poor. Therefore, we call for the urgent implementation of the e-ASEAN Framework Agreement and the Asian IT Belt initiative.

16. We look forward to the expeditious liberalization and facilitation of trade and investment in the ICT sector to ensure the widespread availability and use of inexpensive ICT goods and services, and to adopt concrete measures aimed at facilitating e-commerce in the region.

17. We reaffirm the importance of the assessment to be conducted, with international support, of the needs of CLMV in terms of their e-readiness, especially the level of ICT skills and infrastructure requirements. We call on the international community including the

private sector to cooperate with ASEAN in meeting those needs effectively.

Regional Economic Integration

18. We fully support the measures being undertaken to integrate CLMV into ASEAN as a regional economy, including the ASEAN Free Trade Area, the ASEAN Investment Area and liberalization of trade in services.

19. We especially welcome the decision by the ASEAN Economic Ministers on the extension of unilateral preferential treatment by the six older ASEAN Member Countries to imports from CLMV on bilateral basis.

20. We call for renewed resolve to conclude the remaining protocols necessary to implement the ASEAN Agreement on Goods in Transit so as to facilitate land transport in Southeast Asia and lower its cost. We look forward to the early conclusion of the ASEAN multi-modal and inter-state transport agreements.

21. We reaffirm the importance of expanding more efficient air services in our region for the freer movement of people and goods. This would greatly help integrate the ASEAN region, foster commercial and human contacts, and stimulate broad-based economic activities and growth. In this light, we reiterate ASEAN's resolve to develop a Competitive Air Services Policy which may be a gradual step towards an Open Sky Policy in ASEAN.

22. We reiterate the call of our Governments on members of the World Trade Organization (WTO) to expedite the accession of Cambodia, Laos and Viet Nam to the Organization so as to enable them to benefit more fully from the multilateral trading system in the world market.

23. We shall step up our efforts to make possible the participation of Cambodia, Laos and Myanmar in the working groups of APEC and ASEM with a view to their eventual full membership in those forums.

24. We shall convene a workshop in Cambodia to develop a comprehensive and coherent programme for the Mekong development cooperation, especially for the integration of CLMV into the ASEAN economic mainstream. We likewise support the convening of a seminar in Brunei Darussalam on “Complementing Regional Integration through Sub- regional Groupings/Growth Areas such as the BIMP-EAGA, IMS-GT, IMT -GT and the Greater Mekong Sub-region”.

25. We shall establish an IAI Unit in the ASEAN Secretariat to enhance its capability in coordinating and supporting ASEAN’s efforts in realizing the objectives of this Declaration.

ADOPTED in Ha Noi on 23 July 2001