



# Thesis Presentation

## Block Diagonalization Scheme for Canceling Inter-Cell and Inter-Cluster Interference in Clustered Small Cells



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# Related Publications

International

- **Joint Coordination Scheme in Clustered Small Cells with Channel Estimation Error** (Journal – under correction, will be submitted to JSAC)
- **Effect Channel Estimation Error in Coordinated Small Cells.** (International journal, Mechatronics Engineering, Computing and Information Technology AAM 2014 ).
- **Multi Small-Cells Based Block Diagonalization with Imperfect Channel Estimation.** (WIITC 2014)
- **Cooperative Water Filling in Small Cell Network.** (IEEE ICT Convergence 2013)

Domestic

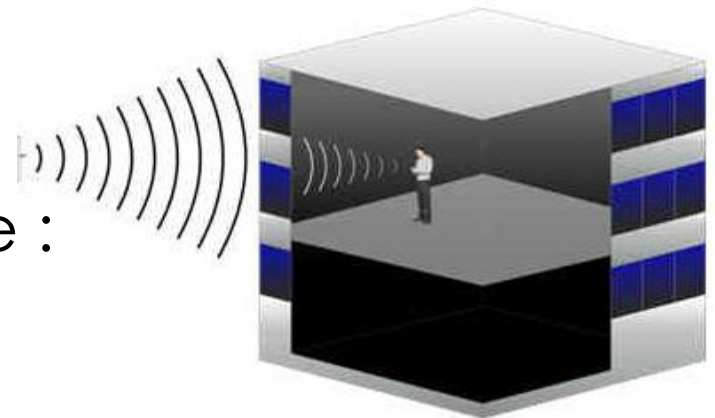
- **Inter-Cell Interference Coordination in Heterogeneous Networks with Open Access of Small Cells",** (IEEK Summer Conference July 2014)
- **Cooperative Multi Small Cell with Imperfect CSIT",** (IEEK Fall Conference 2013).
- **Mobility Management in Small Cell Network,** (IEEK Summer Conference 2013)
- **Fractional Frequency Reuse in Femtocell,** (IEEK Fall Conference 2012)

International	Domestic
<ul style="list-style-type: none"> <li>• 2 - International Journal, 1 under review/writing correction and will be submitted to JSAC</li> <li>• 2 - international conferences</li> </ul>	<ul style="list-style-type: none"> <li>• 4 – Domestic conferences</li> </ul>



# Motivation

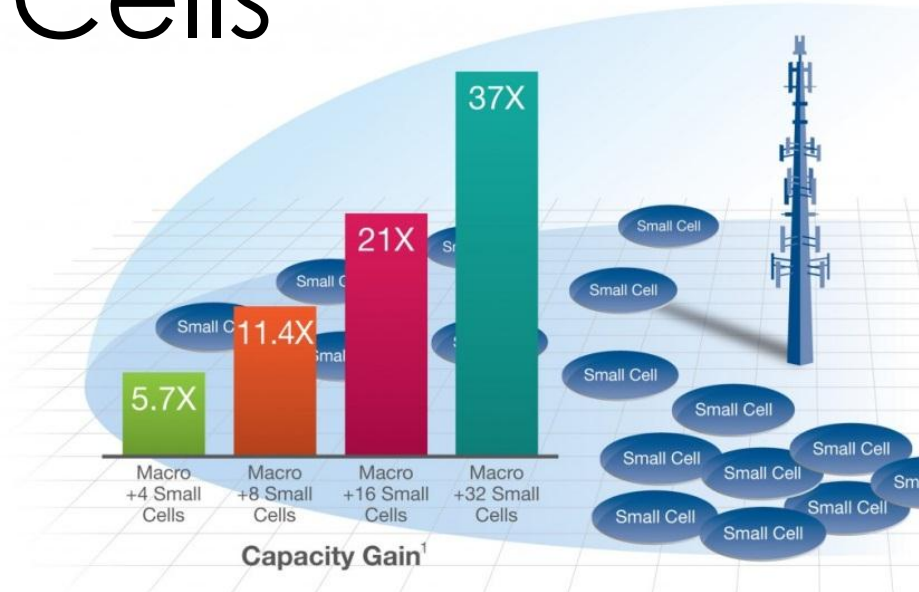
- Shift from voice-only to **voice- & data-based traffic**
- Improved technologies – **smart antennas, MIMO,**
- **Dead zone Problem** – Poor indoor coverage
- Solution – **Small Cells** – (YouTube : <http://goo.gl/en8Gc8>)



# Small Cells

- **What is small cells?**

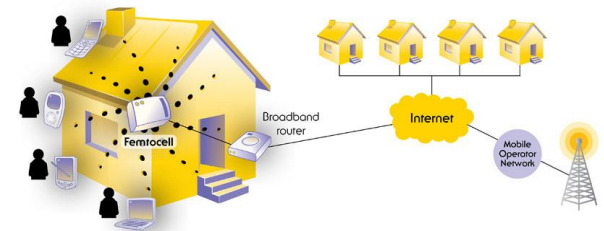
improved cellular/indoor coverage, capacity and applications (ex : SON – Self Organizing Networks, plug and play)



<http://taqua.com/solutions>

- **Type of small cells?**

Femtocells, picocells, metrocells and microcells



<http://www.smallcellforum.org/>



# Small Cells - Large Indoor area

- **High density** of small cells

- **Problems :**

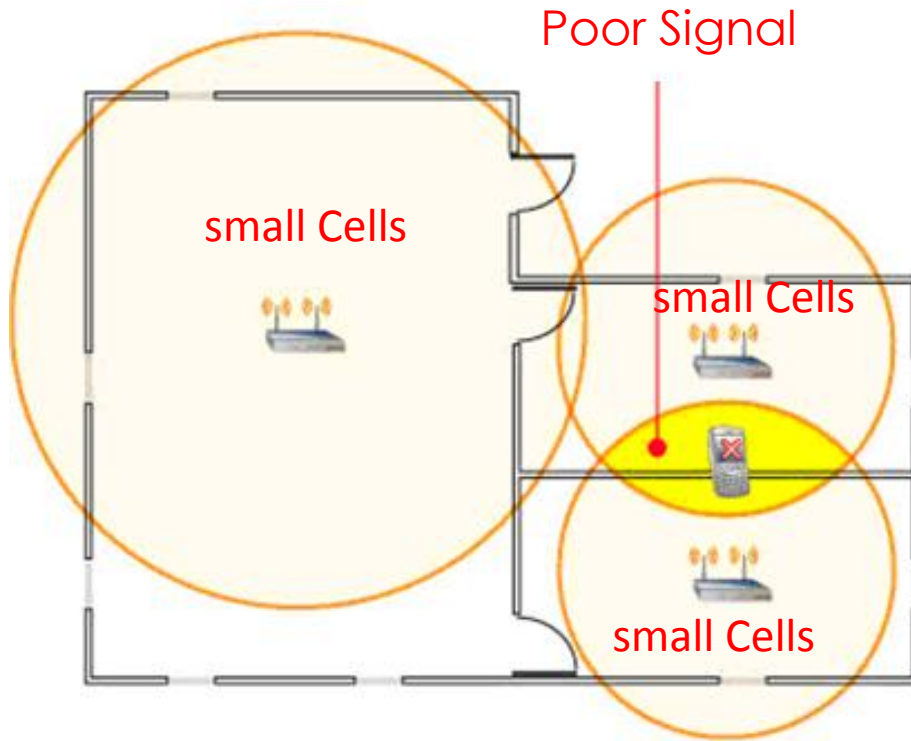
Interference between small cells

- **Solution**

interference management :  
frequency reuse, precoding  
technique



# Interference Problem

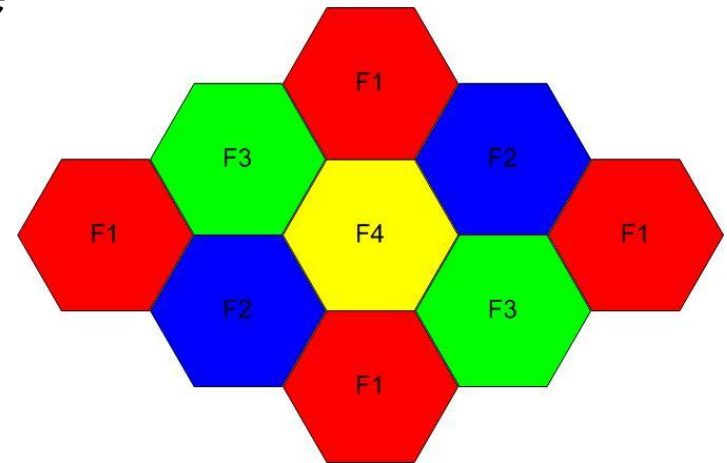


Co-Channel Interference  
(same frequency)



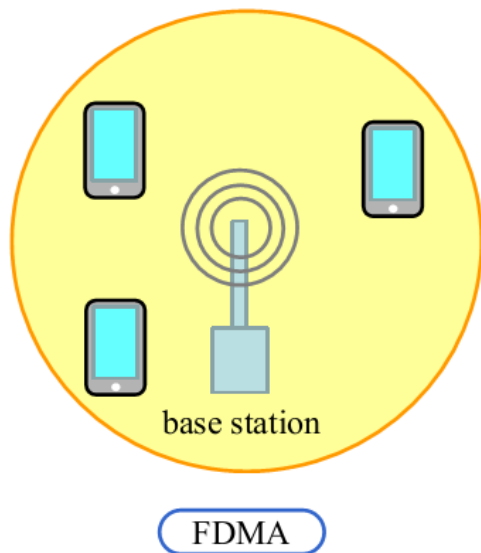
# Frequency Reuse

- **FDMA (Frequency Division Multiple Access)**
- **Frequency reuse**, is one of scheme to mitigate inter-cell interference
- Weakness : **waste of frequency bandwidth**



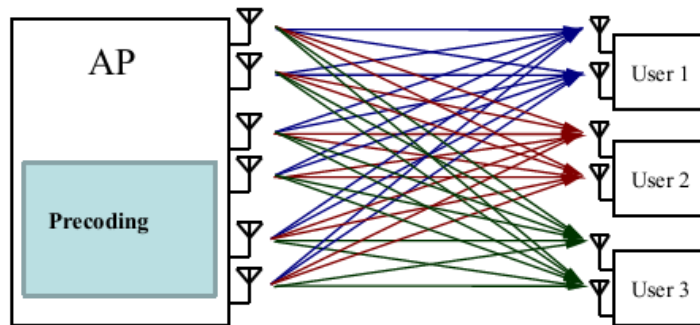


# Precoding technique



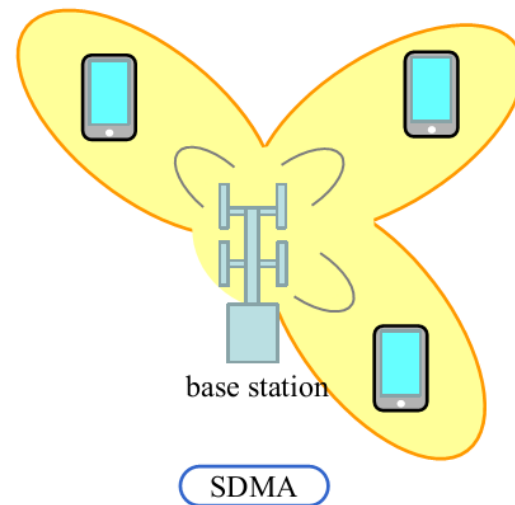
FDMA (Frequency Division Multiple Access)

How to multiple users in the **same time and frequency**?



**IUI (Inter User Interference)**

– precoding technique



SDMA (Spatial Division Multiple Access)

# Precoding technique

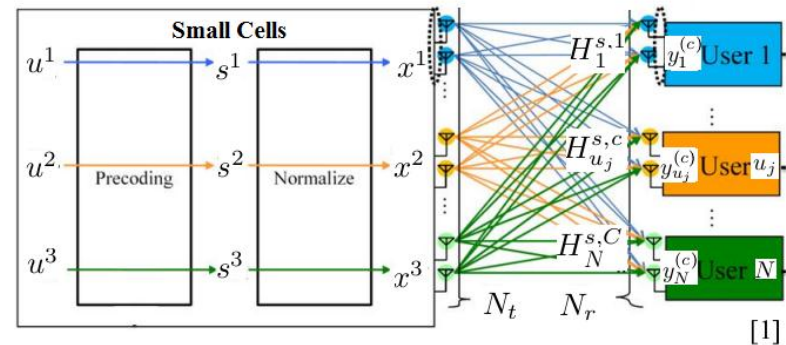
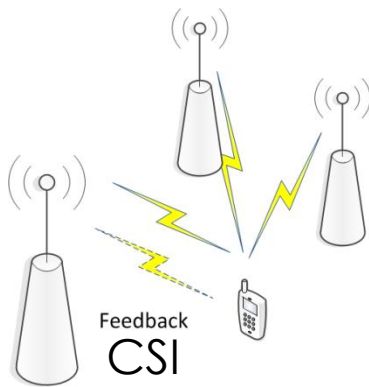
- **Pre define symbol** before transmitting

**Precoding**  
 $\mathbf{s} = \mathbf{W}\mathbf{u}$

$\mathbf{W}$  : Weight matrix  
 $\mathbf{u}$  : Transmission symbol

$\mathbf{H}$  : Channel matrix

- $\hat{V}_{u_j}^c$  as channel state information (CSI)
- CSI : to know channel properties of a communication link.



How to cancel inter user interference?

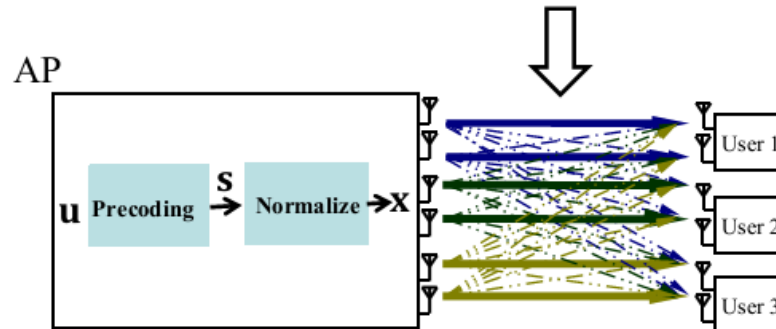
# Precoding technique - MMSE

- MMSE (Minimum Mean Square Error), or RCI

$$\mathbf{W}_{RCI} = \mathbf{H}^H (\mathbf{H}\mathbf{H}^H + \underbrace{\sigma^2 \mathbf{I}}_{\substack{\text{Noise power} \\ E[\mathbf{nn}^H]}})^{-1}$$

$$\mathbf{s} = \mathbf{W}_{RCI} \mathbf{u} = \mathbf{H}^H (\mathbf{H}\mathbf{H}^H + \sigma^2 \mathbf{I})^{-1} \mathbf{u}$$

**IUI can not be removed completely**



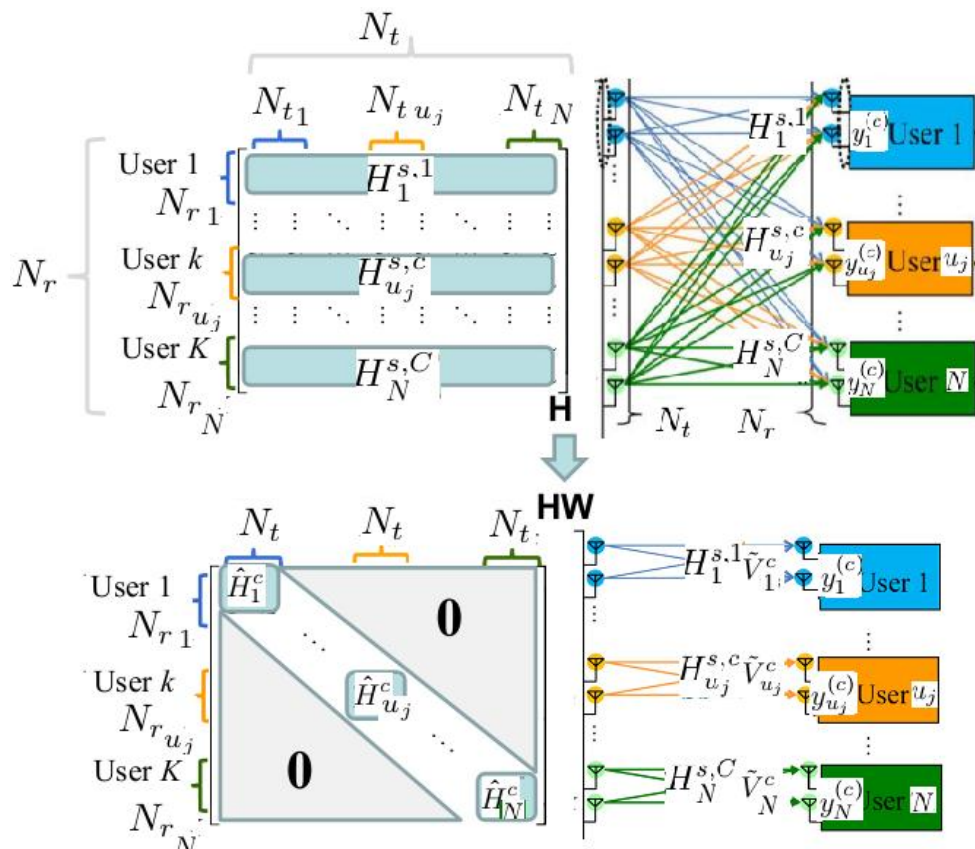
$\mathbf{H}$  : Channel matrix

$\mathbf{W}$  : Weight matrix

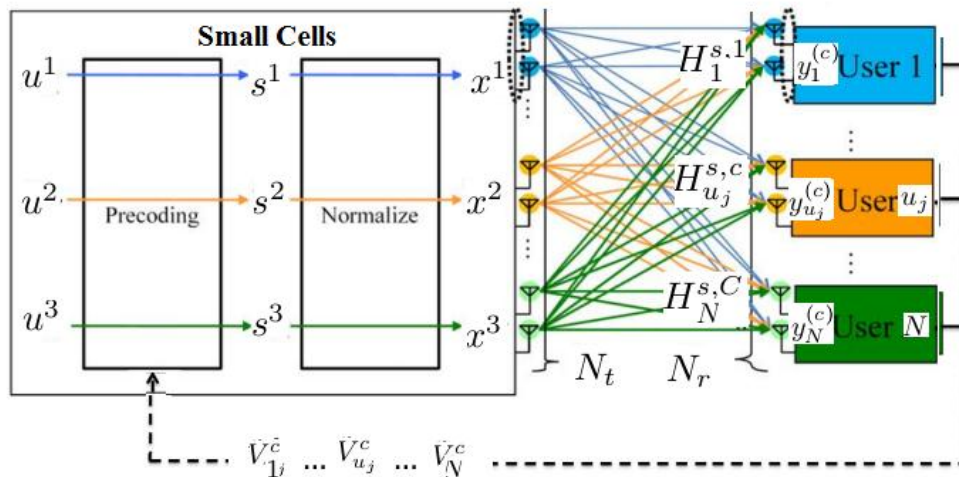
# Precoding technique – Block Diagonalization (BD)

Block Diagonalization (BD) need Singular Value Decomposition (SVD) - factorization

$$\hat{H}_{u_j}^c w_{u_n}^c = 0 \quad \text{if } j \neq n$$



# Channel Estimation Error

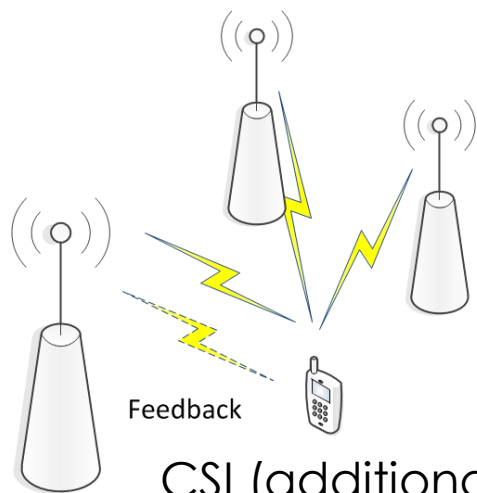


Error Problem

$$H_{u_j}^e = H_{u_j}^c + \sigma_{err\_u_j}^2$$

Consider : Error Problem  $\sigma_{err\_u_j}^2$

$$y_{u_j}^{c,e} = \sum_{c=1}^C (H_{u_j}^c + \sigma_{err\_u_j}^2) w_{u_j}^c u_{u_j}^c + n_{u_j}$$

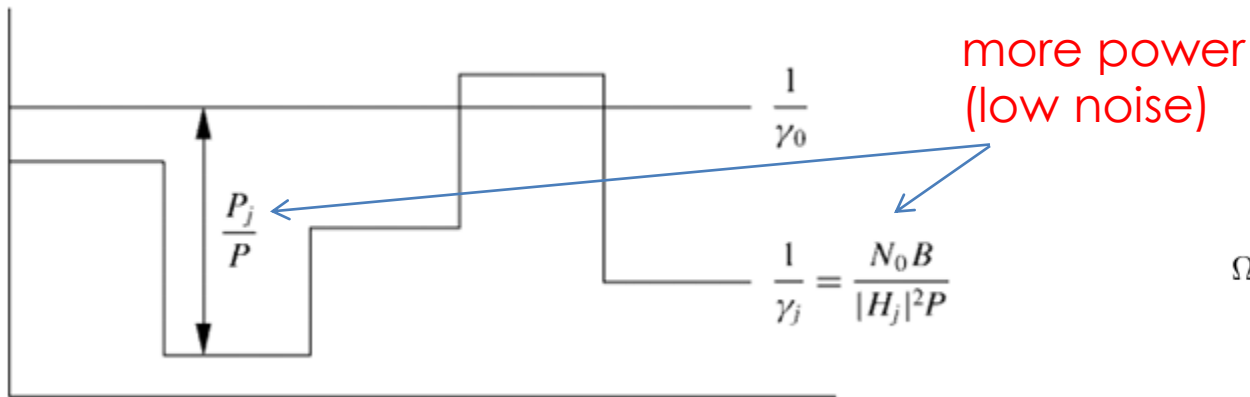


CSI (additional **error**)



# Power Allocation

- Power is transmitted to each user by using **water filling power allocation**
- To give the **more power when the channel is good**

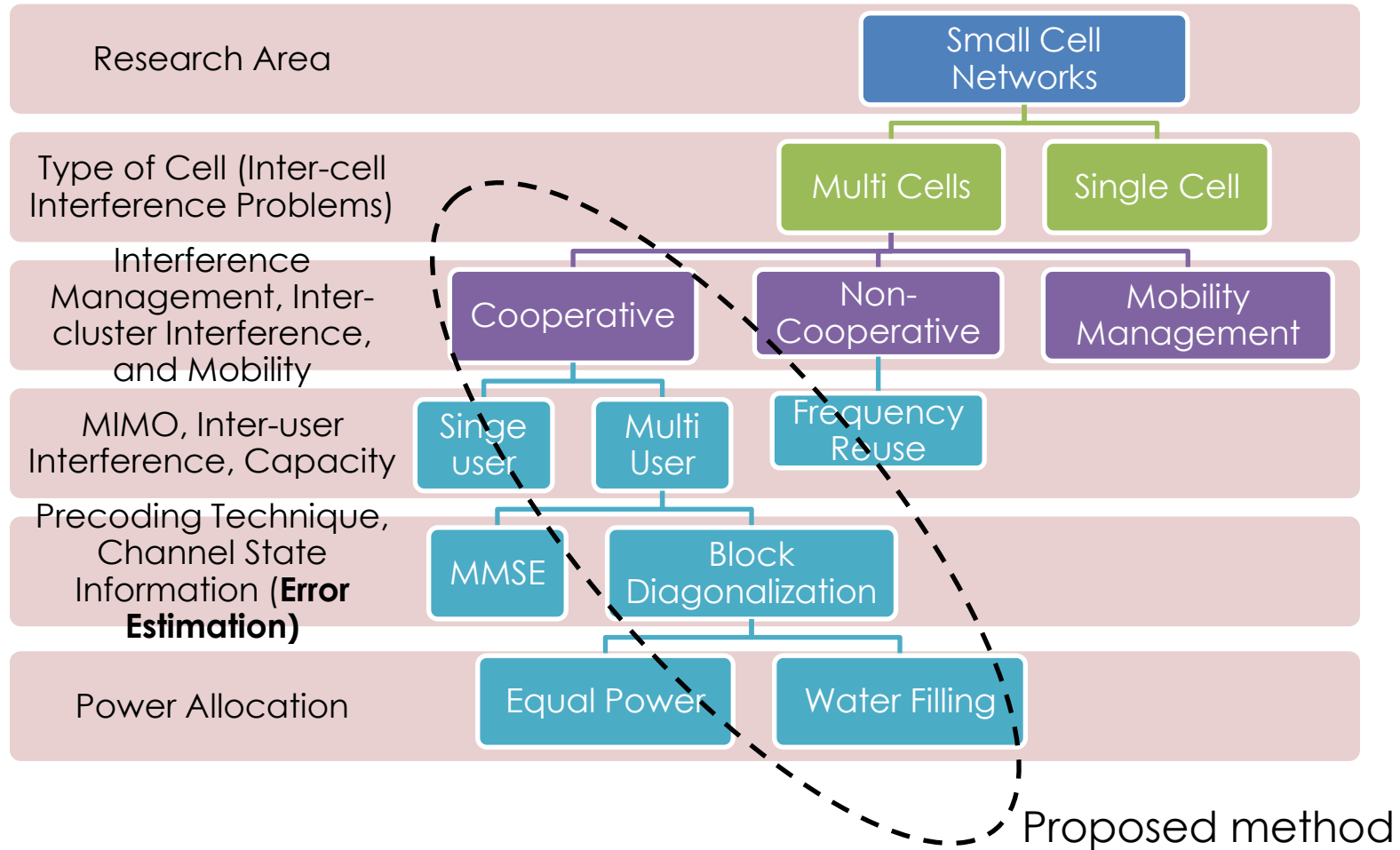


$$\Omega_{u_j,l} = \max_{S=c,\dots,C} \left( \sum_{l=1}^L |w_{u_j,l}^C|^2 \right)$$

$$P_{u_j,l} = \left[ K \frac{\alpha_{u_j}}{\Omega_{u_j,l}} - \frac{1}{\tilde{\lambda}_{u_j,l}} \right]^+$$



# Research Diagram

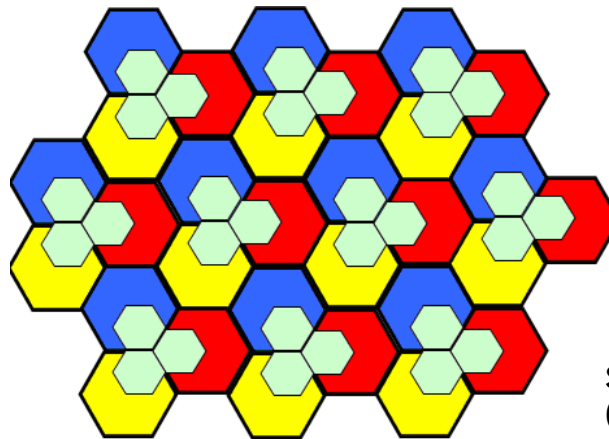




# Related Works

## Related Works

- **Interference Management** : Fractional Frequency Reuse [1]
- **A Non-Cooperative Method in Femtocell Networks (with MMSE)** [2].
- **A Block Diagonalization for MIMO Femtocell Networks. (non cooperative and equal power allocation)** [3]
- Single-cell vs. **multicell mimo** downlink signaling strategies with **imperfect csi (equal power and MMSE)** [4]



Subcarrier FFR  
(Frequency Reuse)





# Interference Management in Small cells

## Proposed Solution

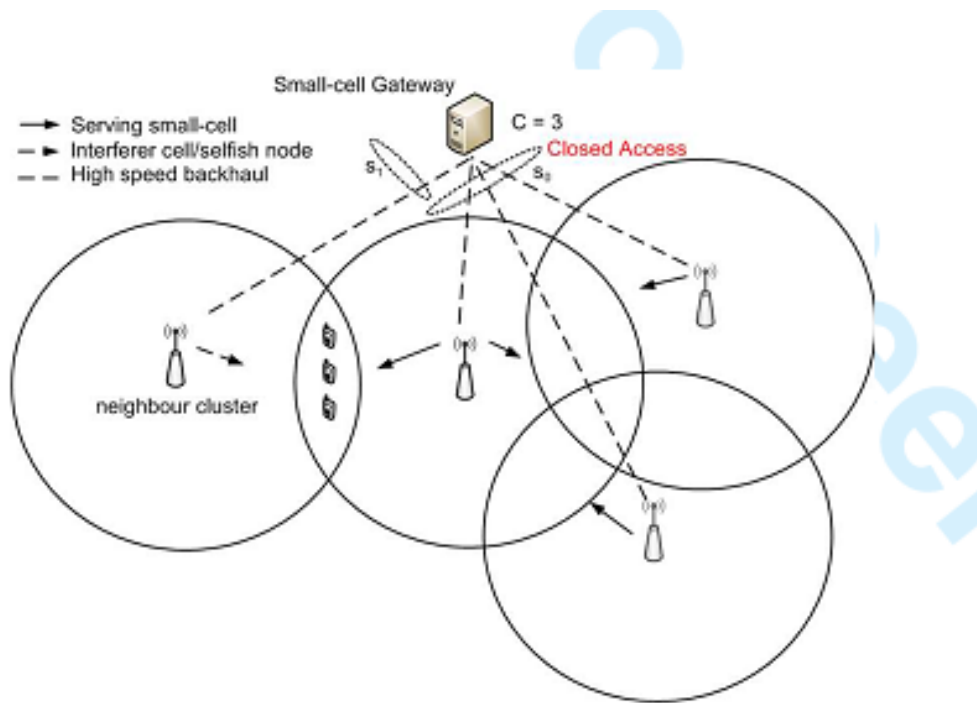
- Interference management in cooperative small cell networks with coordination strategy.



## Salient features/contribution

- Coordination strategy in cooperative small cells (**clustered small cells**) with consider :
  - Applied **MIMO** antennas
  - **Water filling** power Allocation
  - Extended **Block Diagonalization** scheme (Applied In clustered small cells )
  - Investigated **channel estimation error** and **closed access** method (CAM)

# System Model



Parameter	Value
Number of small cells in cluster	2, 3, 4, 7
Number of neighbor cluster	1
Transmit Power of small cell	20 dBm/100 mW
Propagation	Indoor propagation Model

Fig. 1. Clustering in small cell networks,  $C=3$  small cells ( $c_0$ ) with Closed Access ( $c_1$ )

# System Model

Received signal

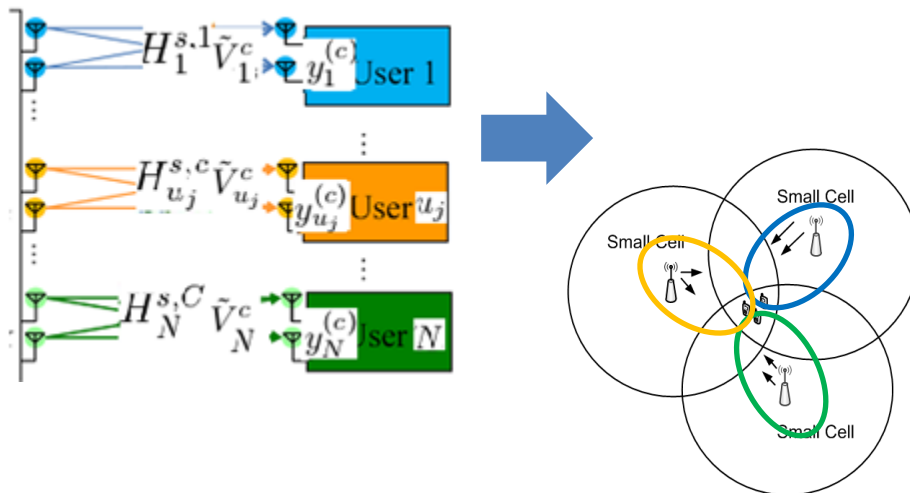
$$y_{u_j}^{(s)} = \underbrace{\sum_{c=1}^C H_{u_j}^{s,c} w_{u_j}^{s,c} u_{u_j}^s}_{\text{serving}} + \underbrace{\sum_{c=1}^C H_{u_j}^{s,c} \sum_{u_n=1, u_n \neq u_j}^N w_{u_n}^{s,c} u_{u_n}^s}_{\text{neighbour cells}} + \underbrace{\sum_{\hat{s}=1, \hat{s} \neq s}^S \sum_{\hat{c}=1}^C H_{u_j}^{\hat{s}, \hat{c}} \sum_{u_x=1}^{N^{\hat{s}}} w_{u_x}^{\hat{s}, \hat{c}} u_{u_x}^{\hat{s}} + n_{u_j}^s}_{\text{neighbour cluster}}$$

A extended **Block Diagonalization** scheme  
(Applied In clustered small cells)

BD :  
cancelling inter-user interference

$$y_{u_j}^c = \sum_{c=1}^C \hat{H}_{u_j}^c w_{u_j}^c u_{u_j}^c + \hat{n}_{u_j} \quad \text{for all } j \neq n$$

$$\hat{H}_{u_j}^c w_{u_n}^c = 0 \quad \text{if } j \neq n$$



# Simulation Results

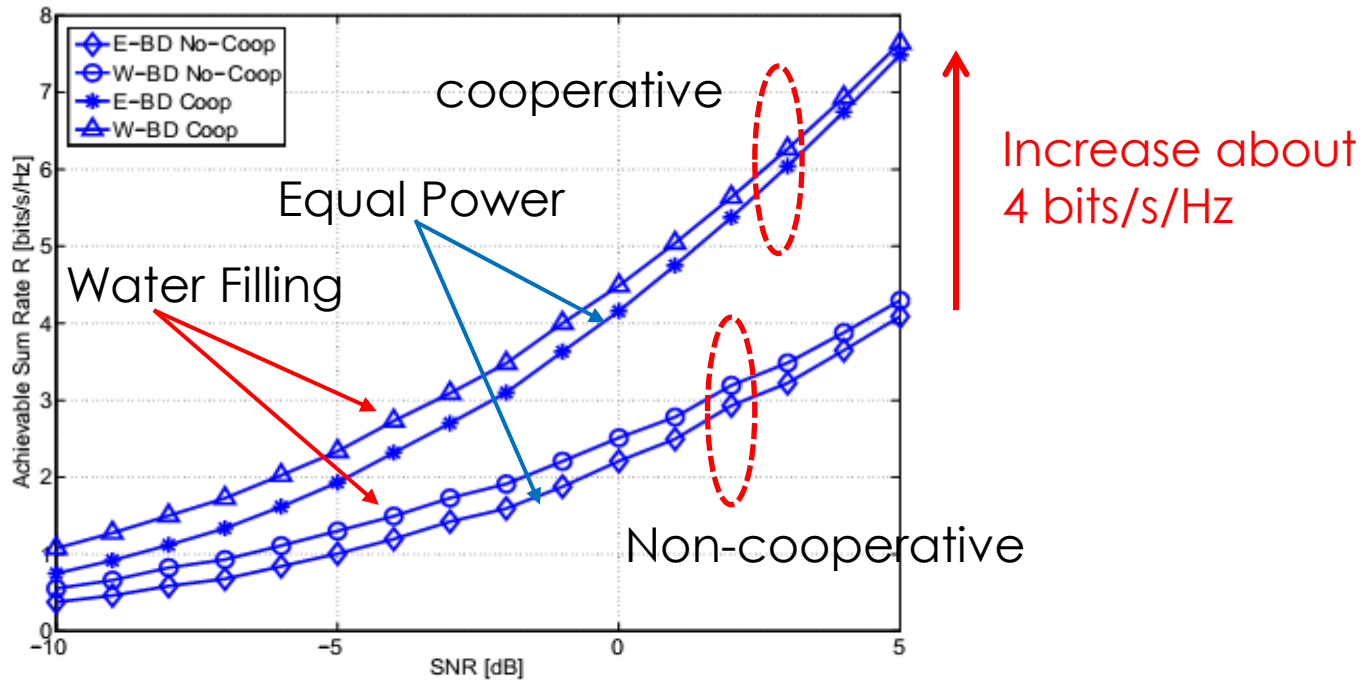


Fig. 2. Non Cooperative and Cooperative Small Cells with number of Cluster  $C = 2$ ,  $N_t = 2$  and  $N_r = 2$

S. Y. Shin and T. Nugraha, "Cooperative water filling (coopwf) algorithm for small cell networks," in *ICT Convergence (ICTC), 2013 International Conference on*, 2013, pp. 959-961.

# Simulation Results

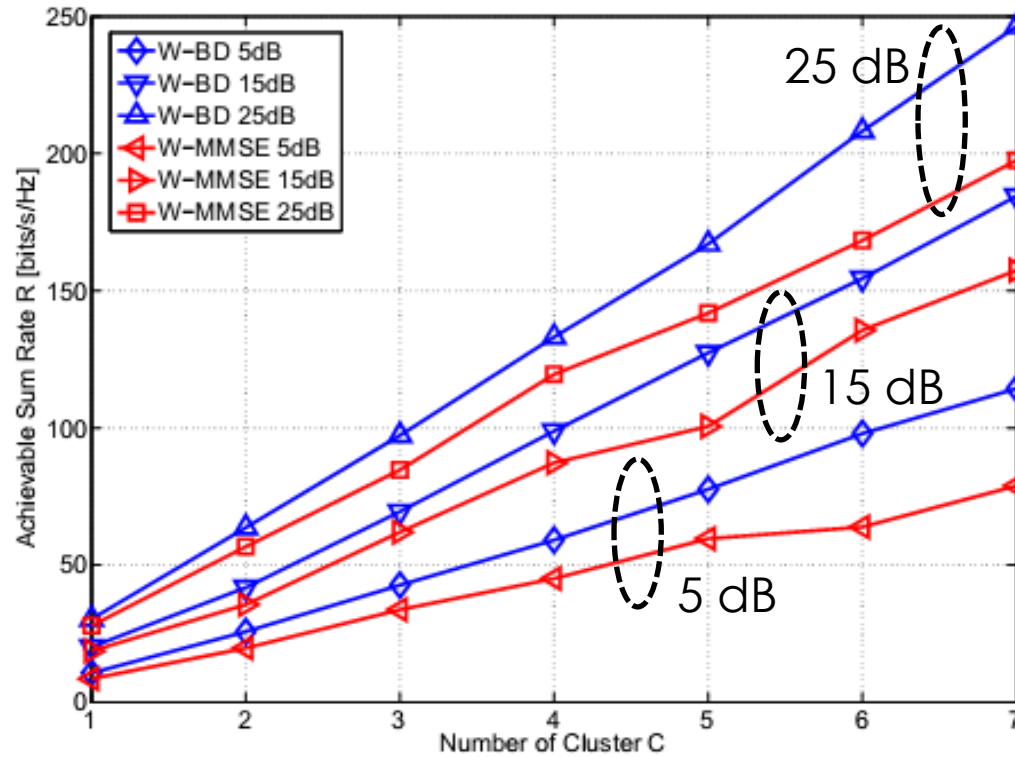


Fig. 4. Coordination strategy with various number of Clustered Small Cells in case of investigation on low SINR (plot 5dB), middle (plot 15dB), and high (plot 25dB).

# Simulation Results

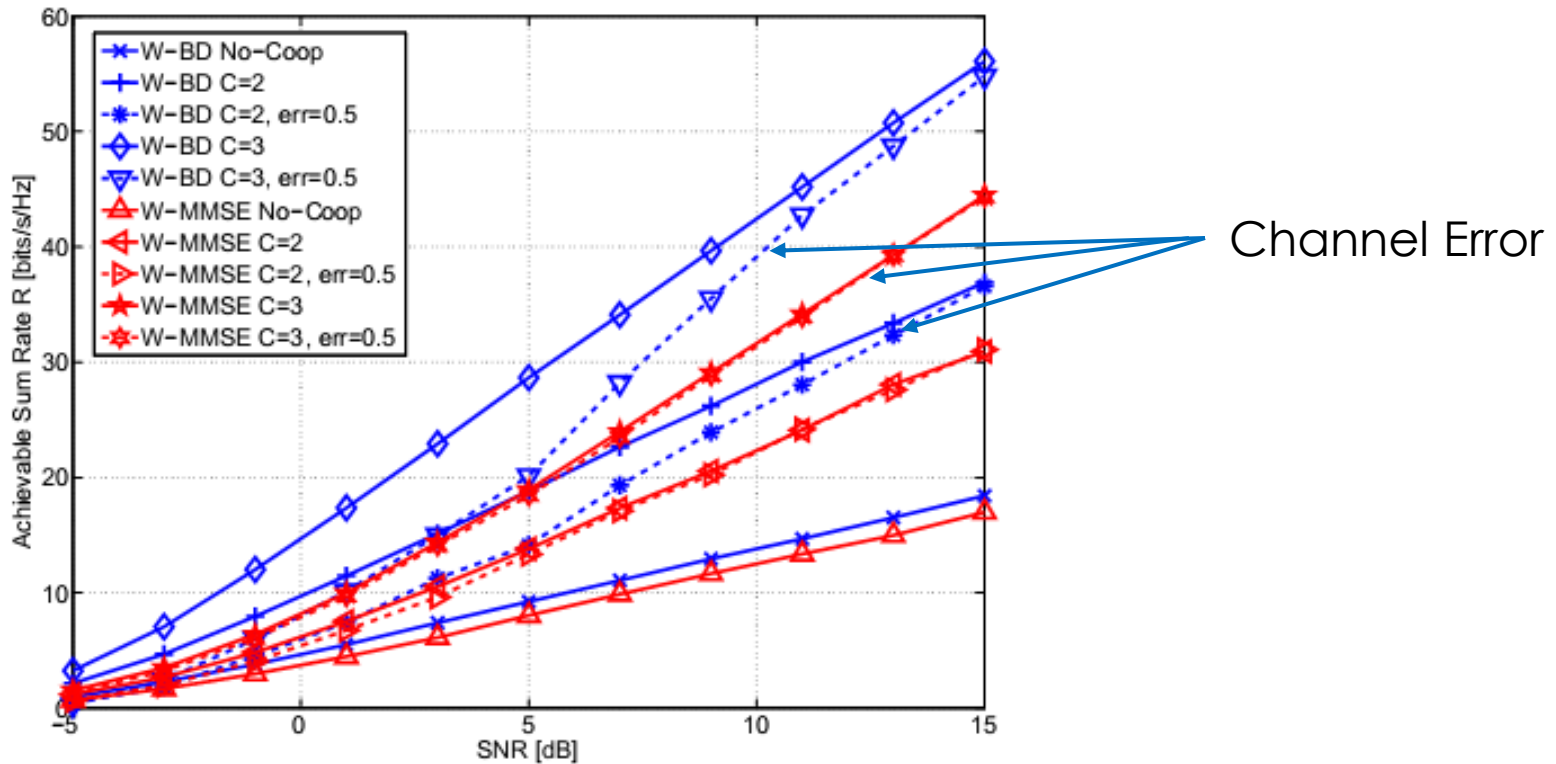


Fig. 3. Clustered Small Cells under Channel Estimation Error with zero mean and variance of  $\sigma_{err}^2 = 0.5$  in various number of small cells in clustering system.

# Simulation Results

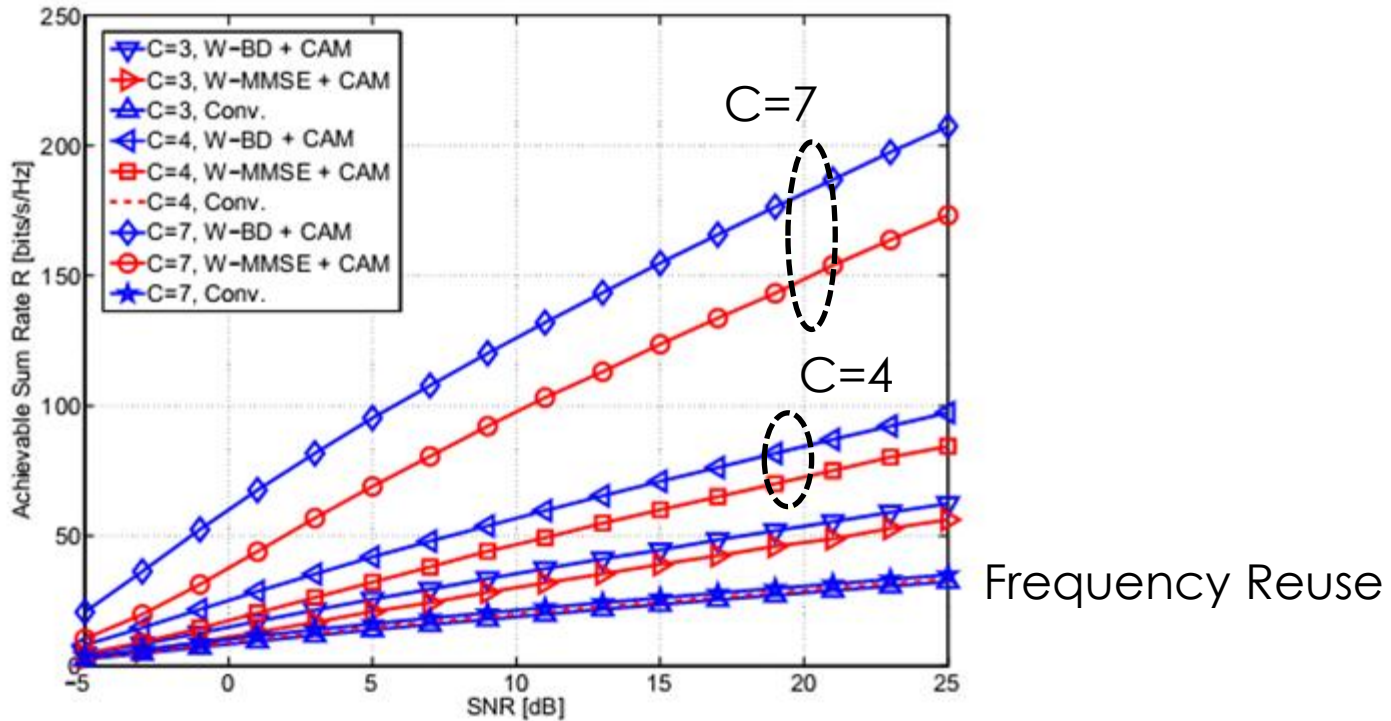


Fig. 5. Comparison between W-BD and W-MMSE, with close access method (CAM) vs conventional scheme, with the number of clustered small cells is set  $C=3$  small cells, 4 small cells, and 7 small cells.



# Conclusion and Future Works

Conclusion of this paper:

- **Clustered Small Cells with** applied BD Precoding for **canceling interference**.
- Power based on water filling is better compare to equal power allocation.
- Clustered small cells with BD Is better compare to MMSE in case of same SNR with **Channel Estimation Error**.
- In **Closed Access Mode**, BD is also still better compare with MMSE and Frequency reuse

Future Works

- Investigating small cells with macro cell (**Heterogeneous network**).
- Clustered with **Selfish Small Cells** with consider **SNR Condition**.
- Consider **backhaul problems** for making cooperative cells





# Thesis Presentation

Thank You  
Question?

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# Related Works

## Related Works

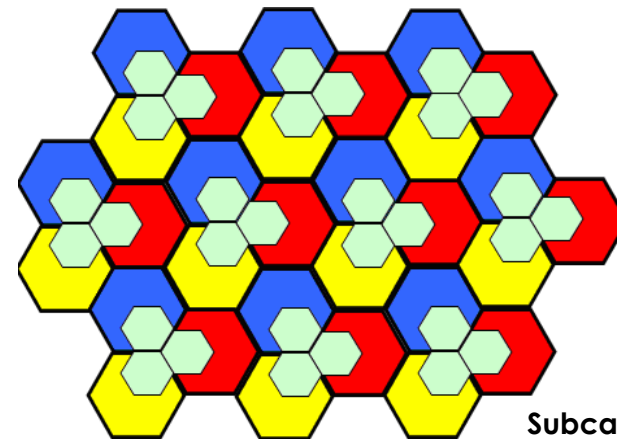
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1 F. Jin, R. Zhang, and L. Hanzo, "Fractional frequency reuse aided twin-layer femtocell networks: Analysis, design and optimization," *Communications, IEEE Transactions on*, vol. 61, no. 5, pp. 2074–2085, May 2013.

2 Q. Su, A. Huang, Z. Zhang, K. Xu, and J. Yang, "A non-cooperative method for path loss estimation in femtocell networks," in *GLOBECOM Workshops (GC Wkshps), 2010 IEEE*, Dec 2010, pp. 684–689.

3 M. Khan and M. H. Lee, "A block diagonalization for mimo femtocell networks," in *Ultra Modern Telecommunications and Control Systems and Workshops (ICUMT), 2012 4th International Congress on*, 2012, pp. 510–515.

4 H. Saleh and S. Blostein, "Single-cell vs. multicell mimo downlink signalling strategies with imperfect csi," in *Global Telecommunications Conference (GLOBECOM 2010), 2010 IEEE*, 2010, pp. 1–6.



**Subcarrier FFR  
(Frequency Reuse)**